



**Department of Energy**  
Washington, DC 20585

April 24, 2020

Mr. Michael J. Dammann  
Southwest Research Institute  
6220 Culebra Road  
San Antonio, TX 78238-5166

Dear Mr. Dammann:

In response to your letter dated January 9, 2020, enclosed is Department of Energy (DOE), Quality Assurance Program Approval (QAPA), Approval Number 0024, Revision 1, for the Southwest Research Institute (SwRI). This approval and renewal is based on DOE Packaging Certification Program (PCP) staff's independent review of *Quality Project Plan (QPP) for Packaging Used for the Transport of Radioactive Material as Required in 10CFR71, Subpart H, 01-QPP-035, Revision 2, January 3, 2020.*

The changes between Revisions 1 and 2 of the QPP are administrative in nature and largely reflect updated document identifiers. Revisions do not reduce the commitments in the QPP previously approved by DOE.

This DOE QAPA satisfies DOE Order 460.1D, *Hazardous Materials Packaging and Transportation Safety*, and therefore complies with provisions in 49 CFR 173.7(d) for DOE to maintain packaging standards equivalent to those specified in 10 CFR Part 71.

The expiration date of DOE QAPA 0024, Revision 1, is April 30, 2025. If you wish to renew, revise, or terminate QAPA 0024, please request this action in writing. Any revisions to the SwRI QPP, except for spelling corrections and nonsubstantive changes to punctuation or editorial items, must be submitted and approved by the DOE PCP prior to implementation of the proposed changes.

This approval memorandum also serves as a reminder that the Order requires that for offsite transportation each Departmental element that uses a Type B or fissile package certified by DOE must register with the DOE Certifying Official prior to first use of the package. Refer to the following URL for registration instructions:

<https://rampac.energy.gov/home/package-certification-information/guidance/doe-guidance>.

If you have any questions, please contact me or Dr. James Shuler of my staff at (301) 903-5513.

Sincerely,

Julia C.  
Shenk

Digitally signed by Julia C.  
Shenk  
Date: 2020.04.24 12:16:30  
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Julia C. Shenk  
Headquarters Certifying Official  
Director  
Office of Packaging and Transportation

Enclosure: QAPA 0024, Rev. 1

cc: James Shuler, EM-4.24



U.S. Department of Energy  
**QUALITY ASSURANCE PROGRAM APPROVAL**  
 For Type B and Fissile Radioactive Material Packages

1. APPROVAL NUMBER <b>0024</b>
REVISION 1

Pursuant to DOE Order 460.1D, *Hazardous Materials Packaging and Transportation Safety*, subparagraph 4.a.(3), *Quality Assurance (QA)* directs that each Departmental element that participates in the use, design, purchase, fabrication, handling, shipping, storing, cleaning, assembly, inspection, testing, operation, maintenance, repair, and modification of Type B or fissile materials packaging must have and maintain a quality assurance (QA) program in accordance with 10 CFR Part 71, Subpart H that is approved by the NRC or the DOE Certifying Official, prior to the performance of those operations. The Contractors Requirements Document in Attachment 1 of Paragraph 5.a. of the Order imposes the same requirement on DOE contractors. Based on the reliance on statements and representations heretofore made in Block 5 by the organization named in Block 2, the Quality Assurance Program Description identified in Block 5 is hereby approved. This approval is issued to satisfy the requirements of the Order, 49 CFR 173.7.(d), and 10 CFR 71.101. This approval is subject to all applicable rules, regulations, and orders of the U.S. Department of Energy now or hereafter in effect and to any conditions specified below .

2. ORGANIZATION NAME Southwest Research Institute			3. EXPIRATION DATE April 30, 2025
STREET ADDRESS 6220 Culebra Road			4. DOCKET NUMBER 2014-004
CITY San Antonio	STATE TX	ZIP CODE 78238	

5. QUALITY ASSURANCE PROGRAM DESCRIPTION, REVISION, and DATE  
*Quality Project Plan for Packaging Used for the Transport of Radioactive Material as Required in 10CFR71, Subpart H, 01 QPP 035, Revision 2, dated January 3, 2020*

6. CONDITIONS

- Activities conducted regarding Type B and fissile material transportation packagings are to be executed under applicable criteria of 10 CFR Part 71 Subpart H. Authorized activities include: design, procurement, fabrication, assembly, testing, modification, maintenance, repair and use of transportation packagings.
- Records shall be maintained in accordance with the provisions of 10 CFR Part 71. Specifically:
  - Records of each shipment of licensed material shall be maintained for 3 years after that shipment [10 CFR 71.91(a)].
  - Records providing evidence of packaging quality shall be maintained for 3 years after the life of the packaging [10 CFR 71.91(d)].
  - Records describing activities affecting packaging quality shall be maintained for 3 years after this Quality Assurance Program Approval is terminated [10 CFR 71.135].
- Planned and periodic audits of all aspects of the Quality Assurance Program shall be conducted in accordance with written procedures or checklists, by appropriately trained personnel not having direct responsibility in the areas being audited, in accordance with 10 CFR 71.137.
- In addition to the requirements of Subparts G and H of 10 CFR 71, and except as specified in Section 5(d), "Conditions," of applicable Certificates of Compliance, each package must be designed, fabricated, acceptance tested, operated, and maintained in accordance with applicable Safety Analysis Report for Packaging requirements; specifically, Chapter 7, "Operating Procedures," Chapter 8, "Acceptance Tests and Maintenance Program," and Chapter 9, "Quality Assurance."

FOR THE U.S. DEPARTMENT OF ENERGY

SIGNATURE  <b>Julia C. Shenk</b>  <small>Digitally signed by Julia C. Shenk        Date: 2020.04.24 12:08:51 -04'00'</small>	DATE OF ISSUANCE  April 24, 2020
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Julia C. Shenk  
 Headquarters Certifying Official  
 Director  
 Office of Packaging and Transportation