



U.S. Department
of Transportation

Pipeline and
Hazardous Materials
Safety Administration

East Building, PHH-23
1200 New Jersey Ave, SE
Washington, D.C. 20590

**COMPETENT AUTHORITY CERTIFICATION FOR A
TYPE B(U)
RADIOACTIVE MATERIALS PACKAGE DESIGN
CERTIFICATE USA/9338/B(U) , REVISION 6**

The Competent Authority of the United States certifies that the radioactive material package design described in this certificate satisfies the regulatory requirements for a Type B(U) package as prescribed in the regulations of the International Atomic Energy Agency¹ and the United States of America² The package design is approved for use within the United States for import and export shipments made in accordance with applicable international and domestic transport regulations.

1. Package Identification - 3977A.
2. Package Description and Authorized Radioactive Contents - as described in U.S. Nuclear Regulatory Commission Certificate of Compliance No. 9338, Revision 5 (attached).
3. General Conditions -
 - a. Each user of this certificate must have in his possession a copy of this certificate and all documents necessary to properly prepare the package for transportation. The user shall prepare the package for shipment in accordance with the documentation and applicable regulations.
 - b. Each user of this certificate, other than the original petitioner, shall register his identity in writing to the Office of Engineering and Research, (PHH-23), Pipeline and Hazardous Materials Safety Administration, U.S. Department of Transportation, Washington D.C. 20590-0001.

¹ "Regulations for the Safe Transport of Radioactive Material, 2018 Edition, No. SSR-6 (Rev. 1)" published by the International Atomic Energy Agency (IAEA), Vienna, Austria.

² Title 49, Code of Federal Regulations, Parts 100-199, United States of America.

CERTIFICATE USA/9338/B(U) , REVISION 6

- c. This certificate does not relieve any consignor or carrier from compliance with any requirement of the Government of any country through or into which the package is to be transported.
 - d. Records of Management System activities required by Paragraph 306 of the IAEA regulations¹ shall be maintained and made available to the authorized officials for at least three years after the last shipment authorized by this certificate. Consignors in the United States exporting shipments under this certificate shall satisfy the applicable requirements of Subpart H of 10 CFR 71.
4. Marking and Labeling - The package shall bear the marking USA/9338/B(U) in addition to other required markings and labeling.
5. Expiration Date - This certificate expires on September 1, 2026. Previous editions which have not reached their expiration date may continue to be used.

This certificate is issued in accordance with paragraph(s) 810 of the IAEA Regulations and Section 173.471 of Title 49 of the Code of Federal Regulations, in response to the March 11, 2025 petition by Croft Associates Limited, Abingdon, Oxfordshire, UK, and in consideration of other information on file in this Office.

Certified By:



William Schoonover
Associate Administrator for Hazardous
Materials Safety

April 15, 2025
(DATE)

Revision 6 - Issued to endorse U.S. Nuclear Regulatory Commission Certificate of Compliance No. 9338, Revision 5 to the 2018 Edition of the IAEA Regulations for the Safe Transport of Radioactive Material, SSR-6 (Rev. 1).

**CERTIFICATE OF COMPLIANCE
FOR RADIOACTIVE MATERIAL PACKAGES**

1. a. CERTIFICATE NUMBER	b. REVISION NUMBER	c. DOCKET NUMBER	d. PACKAGE IDENTIFICATION NUMBER	PAGE	PAGES
9338	5	71-9338	USA/9338/B(U)-96	1	OF 8

2. PREAMBLE

- a. This certificate is issued to certify that the package (packaging and contents) described in Item 5 below meets the applicable safety standards set forth in Title 10, *Code of Federal Regulations*, Part 71, "Packaging and Transportation of Radioactive Material."
- b. This certificate does not relieve the consignor from compliance with any requirement of the regulations of the U.S. Department of Transportation or other applicable regulatory agencies, including the government of any country through or into which the package will be transported.

3. THIS CERTIFICATE IS ISSUED ON THE BASIS OF A SAFETY ANALYSIS REPORT OF THE PACKAGE DESIGN OR APPLICATION.

- a. ISSUED TO (*Name and Address*)
Croft Associates Ltd
F4 Culham Science Centre
Culham, Abingdon
Oxon, OX14 3DB, United Kingdom
- b. TITLE AND IDENTIFICATION OF REPORT OR APPLICATION
Croft Associates Limited application dated
September 29, 2012, as supplemented.

4. CONDITIONS

This certificate is conditional upon fulfilling the requirements of 10 CFR Part 71, as applicable, and the conditions specified below.

5.**(a) Packaging**

(1) Model No.: 3977A

(2) Description

The Model No. 3977A is a package for the transport of radioisotopes used in a wide range of therapeutic and diagnostic applications and research. The packaging consists of an outer stainless-steel keg and an inner containment vessel surrounded by insulating cork packing. There are five specific inserts, designated as Shielding Insert Design Nos. 3982, 3985, 3987, 4081 and 4109, authorized for use in the Model No. 3977A. The outer keg provides impact and thermal protection. Containment is provided by the containment vessel. Shielding is provided by the containment vessel and shielding inserts.

The keg has a stainless-steel outer shell and a stainless-steel liner, between which insulating cork is fitted. The keg lid is attached to the body by eight stainless steel studs and nuts, with a single O-ring weather seal. An inner cork liner is fitted between the keg liner and the top and sides of the containment vessel, consisting of a cork body and cork top, with no cork between the bottom of the containment vessel and the keg liner.

The containment vessel consists of a body and lid. The body has a stainless-steel outer wall, base, and flange/cavity wall. The flange/cavity wall is welded to the outer wall to form a cavity into which DU shielding is placed. The DU shielding thickness is approximately 46 mm at the base of the CV and 47.6 mm along the side of the CV except at the top where the lid seats. The DU shielding thickness at the top is 22.5 mm thick. After the DU shielding is installed, the base is then welded to the outer wall.

**CERTIFICATE OF COMPLIANCE
FOR RADIOACTIVE MATERIAL PACKAGES**

1. a. CERTIFICATE NUMBER	b. REVISION NUMBER	c. DOCKET NUMBER	d. PACKAGE IDENTIFICATION NUMBER	PAGE	PAGES
9338	5	71-9338	USA/9338/B(U)-96	2 OF	8

5.(a) (2) Description (Continued)

There are two CV lid configurations: a standard configuration and a split lid configuration. For the standard CV lid, the stainless-steel lid shielding casing, with 45.9 mm of DU inside, is welded to the stainless-steel CV lid top. For the split CV lid, a shielding plug, composed of 45.9 mm of DU enclosed within a stainless-steel body and a stainless-steel top, sits within the CV and a stainless steel CV lid is installed. All CV lid configurations are secured by eight, M-10x1.5x20, alloy steel recessed hexagon socket head cap screws. The containment vessel is sealed by two concentric fluoroelastomer O-rings, and the lid is equipped with a leak test port.

There are five shielding inserts designed for use in the Model No. 3977A packaging: Design Nos. 3982, 3985, 3987, 4081 and 4109. Design No. 3982, HS-12x95-Tu, is a tungsten insert with inner cavity size of 12 mm diameter by 95 mm height. The approximate mass of the insert is 9.2 kg. Design No. 3985, HS-31x114-Tu, is a tungsten insert with inner cavity size of 31 mm diameter by 114 mm in height. The approximate mass of the insert is 7.9 kg. Design No. 3987, HS-55x128-SS, is a stainless-steel insert with inner cavity size of 55 mm diameter by 128 mm height. A titanium liner shall be employed with the HS-55x128-SS insert. The approximate mass of the insert is 1.8 kg with a liner installed. Shielding insert Design Nos. 3982, 3985 and 3987 are only used with the standard CV lid arrangement. Design No. 4081, HS-50x85-SS, is a stainless-steel insert with inner cavity size of 50 mm diameter by 85 mm height. Design No. 4081 is used in conjunction with a snap ring, which attaches the shielding insert to the shielding plug associated with the split CV lid design, and a tungsten liner which provides additional shielding from the radioactive material transported. The approximate mass of the insert is 3.3 kg. Design No. 4109, HS-50x113-SS, is a stainless-steel insert with inner cavity size of 50 mm diameter by 113 mm height. The approximate mass of the insert is 1.2 kg. Design No. 4081 and 4109 are only used with the split CV lid arrangement.

The radioactive material shall be enclosed in a convenient plastic, metal or quartz product container (e.g., vial, capsule or bottle) or wrapping to minimize the contamination of the insert.

The approximate dimensions and mass of the package are:

Overall package outer diameter	424 mm
Overall package height	585 mm
Containment vessel outer diameter	200 mm
Containment vessel height	302.5 mm
Containment vessel cavity inner diameter	65.8 mm
Containment vessel cavity inner height	157.1 mm
Maximum package mass	163 kg

**CERTIFICATE OF COMPLIANCE
FOR RADIOACTIVE MATERIAL PACKAGES**

1. a. CERTIFICATE NUMBER	b. REVISION NUMBER	c. DOCKET NUMBER	d. PACKAGE IDENTIFICATION NUMBER	PAGE	PAGES
9338	5	71-9338	USA/9338/B(U)-96	3 OF	8

(3) Drawings

Packaging employing a standard lid for the transport of Insert Design Nos. 3982, 3985 and 3987 is constructed and assembled in accordance with Croft Associates Limited Drawing Nos:

1C-5940, Rev. H	Cover Sheet for Safkeg HS Design No. 3977A (Licensing Drawing)
0C-5941, Rev. E	Safkeg HS Design No. 3977A (Licensing Drawing)
0C-5942, Rev. C	Keg Design No. 3977 (Licensing Drawing)
0C-5943, Rev. B	Cork Set for Safkeg HS (Licensing Drawing)
1C-5944, Rev. C	Containment Vessel Design No. 3978 (Licensing Drawing)
1C-5945, Rev. D	Containment Vessel Lid (Licensing Drawing)
1C-5946, Rev. E	Containment Vessel Body (Licensing Drawing)
2C-6920, Rev. A	Silicone Sponge Rubber Disc (Licensing Drawing)

Packaging employing a split lid for the transport of Insert Design No. 4081 is constructed and assembled in accordance with Croft Associates Limited Drawing Nos:

1C-7500, Rev. C	Cover Sheet for Safkeg HS Design No. 3977A – Mallinckrodt Version (Licensing Drawing)
0C-7501, Rev. C	Safkeg HS Design No. 3977A – Mallinckrodt Version (Licensing Drawing)
0C-7502, Rev. A	Keg Design No. 3977 – Mallinckrodt Version (Licensing Drawing)
0C-7503, Rev. A	Cork Set for Safkeg HS – Mallinckrodt Version (Licensing Drawing)
1C-7504, Rev. A	Containment Vessel Design No. 3978 – Mallinckrodt Version (Licensing Drawing)
1C-7505, Rev. A	Containment Vessel Lid – Mallinckrodt Version (Licensing Drawing)
1C-7506, Rev. A	Containment Vessel Body – Mallinckrodt Version (Licensing Drawing)
1C-7507, Rev. A	Containment Vessel Plug – Mallinckrodt Version (Licensing Drawing)

Packaging employing a split lid for the transport of Insert Design No. 4109 is constructed and assembled in accordance with Croft Associates Limited Drawing Nos:

1C-7940, Rev. C	Cover Sheet for Safkeg HS Design No. 3977A – #4109 Insert
0C-7941, Rev. C	Safkeg HS Design No. 3977A – 4109 Insert
0C-7942, Rev. B	Keg Design No. 3977 – 4109 Insert
0C-7943, Rev. B	Cork Set for Safkeg HS – 4109 Insert
1C-7944, Rev. C	Containment Vessel Design No. 3978 – 4109 Insert
1C-7945, Rev. B	Containment Vessel Lid – 4109 Insert
1C-7946, Rev. C	Containment Vessel Body – 4109 Insert
1C-7947, Rev. B	Containment Vessel Plug – 4109 Insert
1C-7975, Rev. D	Packing for Thorium Target in Design No. 3978 - #4109 Insert
2C-6920, Rev. A	Silicone Sponge Rubber Disc (Licensing Drawing)

**CERTIFICATE OF COMPLIANCE
FOR RADIOACTIVE MATERIAL PACKAGES**

1. a. CERTIFICATE NUMBER	b. REVISION NUMBER	c. DOCKET NUMBER	d. PACKAGE IDENTIFICATION NUMBER	PAGE	PAGES
9338	5	71-9338	USA/9338/B(U)-96	4 OF	8

5.(a) (3) Drawings (Continued)

The shielding inserts, and packaging components associated with their use, are constructed and assembled in accordance with Croft Associates Limited Drawing Nos:

2C-6173, Rev. D	HS-12 x 95-Tu Insert Design No. 3982 (Licensing Drawing)
2C-6174, Rev. D	HS-31 x 114-Tu Insert Design No. 3985 (Licensing Drawing)
2C-6176, Rev. F	HS-55X128-SS Insert Design No. 3987 (Licensing Drawing)
2C-7508, Rev. C	HS-50 x 85-SS Insert Design No. 4081 (Licensing Drawing)
2C-7509, Rev. B	Snap Ring
2C-7510, Rev. A	Tungsten Liner
2C-8094, Rev. B	HS-55x113-SS Insert Design No. 4109 (Licensing Drawing)

5.(b) Contents

(1) Type and form of material

Solid material must have a melting point greater than 250°C and must not be volatile below 250°C.

- (i). Solids, normal or special form material, as limited in Table 1, within insert Design No. 3982. All contents may be shipped as individual elements or compounds except for Cs, Hg, I, Na, and P. Cs, Hg, I, Na, and P contents must be shipped as compounds.
- (ii). Solids, normal or special form material, as limited in Table 2, within insert Design No. 3985. All contents may be shipped as individual elements or compounds except for Cs, Hg, I, Na, and P. Cs, Hg, I, Na, and P contents must be shipped as compounds.
- (iii). Gases, normal form material, as limited in Table 3, within insert Design No. 3985 as individual elements. The product container shall be a quartz vial sealed by fusing or aluminum capsules.
- (iv). Liquids, normal form, as limited in Table 4, within insert Design No. 4081 as sodium molybdenate in a matrix solution of NaNO_3 1M and NaOH 0.2M (Na_2MoO_4 [NaNO_3 1M/ NaOH 0.2M]). The product container shall be stainless steel.
- (v). Liquids, normal form, as limited in Table 5, within insert Design No. 3987 as either alkali or acidic salt solutions. For acidic salt solutions, only HCl , H_2SO_4 and HNO_3 solutions of 0.1 N are allowed. The product container shall be a quartz vial or aluminum capsule wrapped in LDPE or similar material with equivalent radiation resistance.
- (vi). Normal form proton irradiated thorium metal pieces, as limited in Table 6, transported in a metal or plastic product container.

**CERTIFICATE OF COMPLIANCE
FOR RADIOACTIVE MATERIAL PACKAGES**

1. a. CERTIFICATE NUMBER	b. REVISION NUMBER	c. DOCKET NUMBER	d. PACKAGE IDENTIFICATION NUMBER	PAGE	PAGES
9338	5	71-9338	USA/9338/B(U)-96	5 OF	8

(2) Maximum quantity of material per package

Decay heat not to exceed 30 watts per package for both solid and gaseous contents. Decay heat not to exceed 5 watts per package for liquid contents. Mixtures of nuclides are allowed providing the sum of the proportionate amounts of each nuclide with respect to the quantities shown in the respective table does not exceed unity. The contents include the progeny of the radionuclides listed in Tables 1 through 4; however, the quantities in the tables are for the listed radionuclides without progeny present (i.e., at the time of loading, only the radionuclides explicitly listed in the table may be present up to the quantities shown in the tables).

(i) For the contents described in 5(b)(1)(i):

Total mass of contents and insert not to exceed 9.3 kg. Maximum mass of radioactive material is 45 g.

TABLE 1

Radionuclide	Maximum TBq	Radionuclide	Maximum TBq	Radionuclide	Maximum TBq
Ac-225	2.51E+00	I-131	3.26E+02	Re-186	1.56E+02
Ac-227	7.24E-01	In-111	4.25E+02	Re-188	7.25E-01
Ac-228	4.28E-01	Ir-192	1.81E+02	Rh-105	8.12E+02
Am-241	3.51E-01	Ir-194	2.05E+00	Se-75	4.61E+02
As-77	7.90E+02	Lu-177	1.03E+03	Sm-153	6.12E-01
Au-198	2.56E+02	Mo-99	5.27E+01	Sr-89	1.22E+01
Ba-131	1.88E+02	Na-24	2.63E-02	Sr-90	1.73E+00
C-14	7.20E+00	Np-237	1.17E-03	Tb-161	1.61E+01
Co-60	2.38E-01	P-32	5.58E+00	Th-227	1.01E+01
Cs-131	6.71E+03	P-33	2.44E+03	Th-228	6.79E-02
Cs-134	7.05E+00	Pb-203	5.20E+02	Tl-201	1.45E+03
Cs-137	1.44E+02	Pb-210	8.04E+00	W-187	2.24E+01
Cu-67	6.91E+02	Pd-109	2.96E+02	W-188	6.43E-01
Hg-203	3.57E+01	Ra-223	6.83E+00	Y-90	1.73E+00
Ho-166	2.04E+00	Ra-224	8.86E-02	Yb-169	4.42E+02
I-125	3.19E+03	Ra-226	9.91E-02	Yb-175	1.11E+03
I-129	2.93E-04				

Note: Boron and beryllium shall not be loaded with the contents in Table 1.

**CERTIFICATE OF COMPLIANCE
FOR RADIOACTIVE MATERIAL PACKAGES**

1. a. CERTIFICATE NUMBER	b. REVISION NUMBER	c. DOCKET NUMBER	d. PACKAGE IDENTIFICATION NUMBER	PAGE	PAGES
9338	5	71-9338	USA/9338/B(U)-96	6 OF	8

5.(b) (2) (continued)

(ii) For the contents described in 5(b)(1)(ii):

Total mass of contents and insert not to exceed 8.6 kg. Maximum mass of radioactive material is 345 g.

TABLE 2

Radionuclide	Maximum TBq	Radionuclide	Maximum TBq	Radionuclide	Maximum TBq
Ac-225	5.47E-01	I-131	3.26E+02	Re-186	2.66E+01
Ac-227	1.63E-01	In-111	4.25E+02	Re-188	2.61E-01
Ac-228	9.30E-02	Ir-192	1.81E+02	Rh-105	8.12E+02
Am-241	7.92E-01	Ir-194	4.83E-01	Se-75	4.61E+02
As-77	7.90E+02	Lu-177	1.03E+03	Sm-153	5.71E+02
Au-198	5.69E+01	Mo-99	9.56E+00	Sr-89	2.59E+00
Ba-131	3.06E+01	Na-24	6.38E-03	Sr-90	4.15E-01
C-14	5.52E+01	Np-237	8.97E-03	Tb-161	3.70E+00
Co-60	4.68E-02	P-32	1.25E+00	Th-227	2.09E+00
Cs-131	6.71E+03	P-33	2.44E+03	Th-228	1.67E-02
Cs-134	1.32E+00	Pb-203	5.20E+02	Tl-201	1.45E+03
Cs-137	1.58E+02	Pb-210	1.66E+00	W-187	4.28E+00
Cu-67	6.91E+02	Pd-109	4.81E+01	W-188	2.19E-01
Hg-203	5.58E+02	Ra-223	2.07E+00	Y-90	4.15E-01
Ho-166	4.60E-01	Ra-224	2.19E-02	Yb-169	4.42E+02
I-125	3.19E+03	Ra-226	2.34E-02	Yb-175	1.11E+03
I-129	2.24E-03				

Note: Boron and beryllium shall not be loaded with the contents in Table 2.

(iii) For the contents described in 5(b)(1)(iii):

Total mass of contents and insert not to exceed 8.6 kg. Maximum mass of radioactive material is less than one gram. Maximum volume of contents, including the product container material and packing, is less than 10 cc.

TABLE 3

Radionuclide	Maximum TBq	Radionuclide	Maximum TBq
Kr-79	1.15E+01	Xe-133	1.04E+03

**CERTIFICATE OF COMPLIANCE
FOR RADIOACTIVE MATERIAL PACKAGES**

1. a. CERTIFICATE NUMBER	b. REVISION NUMBER	c. DOCKET NUMBER	d. PACKAGE IDENTIFICATION NUMBER	PAGE	PAGES
9338	5	71-9338	USA/9338/B(U)-96	7 OF	8

5.(b) (2) (continued)

(iv) For the contents described in 5(b)(1)(iv):

Total mass of contents and insert not to exceed 4.9 kg. Maximum mass of radioactive material is 0.8 kg. Maximum specific activity shall be less than 60 Ci/ml and the maximum volume shall be 75 ml.

TABLE 4

Radionuclide	Maximum TBq
Mo-99	3.7E+01

(v) For the contents described in 5(b)(1)(v):

Total mass of contents and insert not to exceed 3.6 kg. Maximum mass of radioactive material is 0.9 kg.

TABLE 5

Radionuclide	Maximum TBq
I-131	7.4E+00

(vi) For the contents described in 5(b)(1)(vi):

Total mass of contents, spacers and product containers not to exceed 3.3 kg. Maximum mass of radioactive material is 2.1 kg.

TABLE 6

	Energy Bands (Upper Energy Limit Shown)						
	30 keV	50 keV	100 keV	200 keV	300 keV	500 keV	700 keV
Limit (y/s)	1.11E11	1.89E10	3.39E10	4.15E10	3.34E10	7.44E10	8.8E10
	Energy Bands (Upper Energy Limit Shown)						
	1 MeV	1.5 MeV	2 MeV	2.5 MeV	3 MeV	4 MeV	5 MeV
Limit (y/s)	5.98E10	2.1E10	1.09E10	2.36E9	5.18E9	4.95E6	1.32E5

6. For the contents described in 5(b)(1)(v), the shipment period begins when the containment vessel is closed and must be completed within 10 days.

7. For the contents described in 5(b)(1)(vi), the lid height for the metal or plastic product container shall be greater than 6.1 millimeters.

**CERTIFICATE OF COMPLIANCE
FOR RADIOACTIVE MATERIAL PACKAGES**

1. a. CERTIFICATE NUMBER	b. REVISION NUMBER	c. DOCKET NUMBER	d. PACKAGE IDENTIFICATION NUMBER	PAGE	PAGES
9338	5	71-9338	USA/9338/B(U)-96	8 OF	8

8. In addition to the requirements of Subpart G of 10 CFR Part 71:
- (a) New O-ring seals must be installed in insert Design No. 4081 and insert Design No. 3987 prior to each shipment of the contents described in 5(b)(1)(iv) and 5(b)(1)(v).
 - (b) The package shall be prepared for shipment and operated in accordance with the Package Operations in Section 7.0 of the application, as supplemented.
 - (c) The package must meet the Acceptance Tests and Maintenance Program in Section 8.0 of the application, as supplemented.
9. The package authorized by this certificate is hereby approved for use under the general license provisions of 10 CFR 71.17.
10. Revision No. 4 of this certificate may be used until March 31, 2024.
11. Expiration date: March 31, 2029.

REFERENCES

Croft Associates Limited application dated September 29, 2012.

Supplements dated: December 20, 2012; April 23, September 20, November 21, and 28, December 13, 16, and 17, 2013; January 6, 10, 27, and 31, and February 11, 2014; December 14, 2015; and March 21, May 23, July 6, September 9, and October 27, 2016; January 26, March 22, and May 12, 2017; March 31, and July 31, 2018; October 2, December 9, 2019; January 3, 2024.

FOR THE U.S. NUCLEAR REGULATORY COMMISSION

Yaira K. Diaz-Sanabria

Digitally signed by Yaira K. Diaz-Sanabria
Date: 2024.01.12 10:00:58 -05'00'

Yaira K. Diaz-Sanabria, Chief
Storage and Transportation Licensing Branch
Division of Fuel Management
Office of Nuclear Material Safety
and Safeguards

Date: January 12, 2024



U.S. Department of
Transportation

**Pipeline and
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Safety Administration**

East Building, PHH-23
1200 New Jersey Ave, SE
Washington, D.C. 20590

CERTIFICATE NUMBER: USA/9338/B(U)-96

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