



U.S. Department  
of Transportation  
**Pipeline and  
Hazardous Materials  
Safety Administration**

**COMPETENT AUTHORITY CERTIFICATION  
FOR A TYPE FISSILE  
RADIOACTIVE MATERIALS PACKAGE DESIGN  
CERTIFICATE USA/9330/AF-96, REVISION 0**

East Building, PHH-23  
1200 New Jersey Avenue Southeast  
Washington, D.C. 20590

This certifies that the radioactive material package design described has been certified by the Competent Authority of the United States as meeting the regulatory requirements for a Type AF packaging for fissile radioactive material as prescribed in the regulations of the International Atomic Energy Agency<sup>1</sup> and the United States of America<sup>2</sup>.

1. Package Identification - ATR FFSC.
2. Package Description and Authorized Radioactive Contents - as described in U.S. Department of Energy Certificate of Compliance No. 9330, Revision 0 (attached).
3. Criticality - The minimum criticality safety index is 4.0, except for small quantity payloads for which the CSI is 25. The maximum number of packages per conveyance is determined in accordance with Table X of the IAEA regulations cited in this certificate.
4. General Conditions -
  - a. Each user of this certificate must have in his possession a copy of this certificate and all documents necessary to properly prepare the package for transportation. The user shall prepare the package for shipment in accordance with the documentation and applicable regulations.
  - b. Each user of this certificate, other than the original petitioner, shall register his identity in writing to the Office of Hazardous Materials Technology, (PHH-23), Pipeline and Hazardous Materials Safety Administration, U.S. Department of Transportation, Washington D.C. 20590-0001.
  - c. This certificate does not relieve any consignor or carrier from compliance with any requirement of the Government of any country through or into which the package is to be transported.

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<sup>1</sup> "Regulations for the Safe Transport of Radioactive Material, 1996 Edition (Revised), No. TS-R-1 (ST-1, Revised)," published by the International Atomic Energy Agency (IAEA), Vienna, Austria.

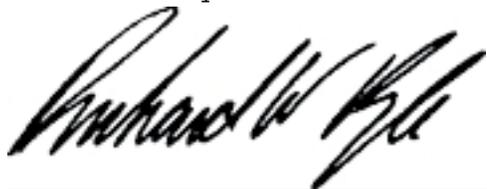
<sup>2</sup> Title 49, Code of Federal Regulations, Parts 100-199, United States of America.

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- d. Records of Quality Assurance activities required by Paragraph 310 of the IAEA regulations<sup>1</sup> shall be maintained and made available to the authorized officials for at least three years after the last shipment authorized by this certificate. Consignors in the United States exporting shipments under this certificate shall satisfy the applicable requirements of Subpart H of 10 CFR 71.
5. Special Condition - Air transport of fissile material is not authorized.
6. Marking and Labeling - The package shall bear the marking USA/9330/AF-96 in addition to other required markings and labeling.
7. Expiration Date - This certificate expires on January 31, 2019.

This certificate is issued in accordance with paragraph 814 of the IAEA Regulations and Section 173.471 and 173.472 of Title 49 of the Code of Federal Regulations, in response to the February 11, 2014 petition by Department of Energy, Washington, DC, and in consideration of other information on file in this Office.

Certified By:



Dr. Magdy El-Sibaie  
Associate Administrator for Hazardous Materials Safety

**Mar 04 2014**  
(DATE)

Revision 0 - Issued to endorse U.S. Department of Energy Certificate of Compliance No. USA/9330/AF-96(DOE), Revision 0 (attached).



# U.S. DEPARTMENT OF ENERGY

## CERTIFICATE OF COMPLIANCE For Radioactive Materials Package

OE F 5822.1

DOE Packaging Certification Program

5-85 (Formerly EV-618)

1a. Certificate Number	1b. Revision No.	1c. Package Identification No.	1d. Page No.	1e. Total No. Pages
9330	0	USA/9330/AF-96 (DOE)	1	5

### 2. PREAMBLE

- 2a. This certificate is issued under the authority of 49 CFR Part 173.7(d).
- 2b. The packaging and contents described in Item 5 below meet the safety standards set forth in subpart E, "Package Approval Standards" and subpart F, "Package Special Form, and LSA-III Tests" Title 10, Code of Federal Regulations, Part 71.
- 2c. This certificate does not relieve the consignor from compliance with any requirement of the regulations of the U.S. Department of Transportation or other applicable regulatory agencies, including the government of any country through or into which the package will be transported.

3. This certificate is issued on the basis of a safety analysis report of the package design or application —		
(1) Prepared by ( <i>Name and Address</i> ):	(2) Title and identification of report or application:	(3) Date:
U.S. Department of Energy Idaho Operations Office 1955 Fremont Ave. Idaho Falls, ID 83415	Safety Analysis Report — Advanced Test Reactor Fresh Fuel Shipping Container (ATR FFSC), Revision 10, September 2013	September 2013

### 4. CONDITIONS

This certificate is conditional upon fulfilling of the applicable Operational and Quality Assurance requirements of 49CFR parts 100 – 199 and 10CFR Part 71, and the conditions specified in Item 5 below.

### 5. Description of Packaging and Authorized Contents, Model Number, Transport Index, other Conditions, and References:

#### (a) Packaging:

(1) Model Number: ATR FFSC

(2) Description:

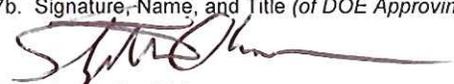
An insulated stainless steel package for the transport of unirradiated research reactor fuel, including intact fuel elements or fuel plates. The packaging consists of (1) a body, (2) a closure lid, and (3) inner packaging internals. The approximate dimensions and weights of the package are:

Overall package outer width and height	8 inches
Overall package length	73 inches
Cavity diameter	5 3/4 inches
Cavity length	68 inches
Packaging weight (without internals)	240 pounds
Maximum package weight (including internals and contents)	290 pounds

The body is composed of two thin-walled, stainless steel shells. The outer shell is a square tube with an 8 inch cross section, a 73 inch length, and a <sup>3</sup>/<sub>16</sub> inch wall thickness. The inner shell is a round tube with a 6 inch diameter and a 0.120 inch wall thickness. The inner tube is wrapped

6a. Date of Issuance: JAN 17 2014	6b. Expiration Date: January 31, 2019
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FOR THE U.S. DEPARTMENT OF ENERGY

7a. Address ( <i>of DOE Issuing Office</i> ) U.S. Department of Energy Office of Packaging and Transportation, EM-33 1000 Independence Avenue, SW Washington, DC 20585	7b. Signature, Name, and Title ( <i>of DOE Approving Official</i> )  Stephen C. O'Connor Headquarters Certifying Official
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with ceramic fiber thermal insulation, overlaid with a stainless steel sheet. At the bottom end, the shells are welded to a 0.88 inch thick stainless steel base plate. At the top end (closure end), the shells are welded to a 1.5 inch thick stainless steel flange.

The closure is composed of circular stainless steel plates with ceramic fiber insulation. The closure engages the top end flange by way of four bayonets that are rotated and secured by two spring pins. The closure is equipped with a handle, which may be removed during transport. The closure does not have a gasket or seal.

The package internals consist of either 1) a Fuel Handling Enclosure (FHE) for intact Advanced Test Reactor (ATR), Massachusetts Institute of Technology (MIT), University of Missouri Research Reactor (MURR), or Rhode Island Nuclear Science Center (RINSC) fuel elements and Small Quantity Payloads, or 2) a Loose Fuel Plate Basket for ATR fuel plates. The RINSC, MIT, MURR, and Small Quantity Payload FHE use ball lock pins and end spacers to lock closed while the ATR FHE uses a spring plunger.

(3) Drawings:

The packaging is constructed and assembled in accordance with the following Areva Federal Services LLC. or Packaging Technology, Inc., Drawing Nos.:

Drawing No.	Revision	Title
60501-10, Sheets 1-5,	3	ATR Fresh Fuel Shipping Container SAR Drawing
60501-20	1	ATR Loose Fuel Plate Basket
60501-30	1	ATR Fuel Handling Enclosure
60501-40	0	MIT Fuel Handling Enclosure
60501-50	0	MURR Fuel Handling Enclosure
60501-60	0	RINSC Fuel Handling Enclosure
60501-70	0	Small Quantity Payload Fuel Handling Enclosure

(b) Contents:

(1) Type and form of material

Unirradiated Mark VII ATR fuel - The ATR fuel material is composed of uranium aluminide (UAl<sub>x</sub>). The uranium is enriched to a maximum 94 weight percent U-235; the maximum U-234 content is 1.2 weight percent; and the maximum U-236 content is 0.7 weight percent. Intact ATR fuel elements contain 19 curved fuel plates fitted within aluminum side plates, and the maximum channel thickness between fuel plates is 0.087 inch. The fuel meat thickness is a nominal 0.02 inch for all 19 plates, and the fuel meat width ranges from approximately 1.5 inches to 3.44 inches. The nominal active fuel length is approximately 48 inches. The maximum mass of U-235 per intact ATR fuel element is 1200 grams. The ATR fuel element must be contained within the ATR Fuel Handling Enclosure, as specified in 5(a)(3).

Unirradiated ATR U-Mo fuel elements - The ATR U-Mo fuel element consists of a mixture of high-enriched uranium aluminide (UAl<sub>x</sub>) fuel plates and low-enriched uranium and molybdenum alloy (U-Mo) fuel plates, with a maximum mass of U-235 per U-Mo fuel element of 1,240 grams. The ATR U-Mo fuel element contains 19 curved plates fitted within aluminum side plates; plates 1 through 4, and 16 through 18, contain high-enriched UAl<sub>x</sub> fuel; plates 5 through 15 contain low-enriched U-Mo fuel; and plate 19 is an aluminum alloy plate. The maximum channel thickness between fuel plates is 0.087 inch. For the high-enriched UAl<sub>x</sub> fuel plates, the uranium is enriched to a maximum 94 weight percent U-235; the maximum U-234 content is 1.2 weight percent; and the maximum U-236 content is 0.7 weight percent. For the low-enriched U-Mo fuel

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plates, the molybdenum content is a nominal 10 weight percent; the uranium is enriched to a maximum 20 weight percent U-235; the maximum U-234 content is 0.26 weight percent; and the maximum U-236 content is 0.46 weight percent. For the high-enriched UAl<sub>x</sub> fuel plates, the fuel meat thickness is a nominal 0.02 inch; the fuel meat width ranges from approximately 1.5 inches to 3.44 inches; and the nominal active fuel length is approximately 48 inches. For the low-enriched U-Mo fuel plates, the fuel meat thickness is a nominal 0.013 inch, with a nominal 0.001 inch thick zirconium interlayer present between the fuel meat and the aluminum cladding layer; the fuel meat width ranges from approximately 2.25 inches to 3.28 inches; and the nominal active fuel length is approximately 48 inches. The ATR U-Mo fuel element must be contained within the ATR Fuel Handling Enclosure, as specified in 5(a)(3).

Unirradiated MIT fuel element – The MIT fuel material is composed of uranium aluminide (UAl<sub>x</sub>). The uranium is enriched to a maximum of 94 weight percent U-235; the maximum U-234 content is 1.2 weight percent; and the maximum U-236 content is 0.7 weight percent. Each MIT fuel element contains 15 flat fuel plates fitted within aluminum side plates and the maximum channel thickness between fuel plates is 0.090 inch. The fuel meat thickness is a nominal 0.03 inch for all 15 plates and the fuel meat width ranges from approximately 1.98 inches to 2.17 inches. The nominal active fuel length is 22.375 inches. The maximum mass of U-235 per intact MIT fuel element is 515 grams. The MIT fuel element must be contained within the MIT Fuel Handling Enclosure, as specified in 5(a)(3).

Unirradiated MURR fuel element – The MURR fuel material is composed of uranium aluminide (UAl<sub>x</sub>). The uranium is enriched to a maximum of 94 weight percent U-235; the maximum U-234 content is 1.2 weight percent; and the maximum U-236 content is 0.7 weight percent. Each MURR fuel element contains 24 curved fuel plates fitted within aluminum side plates and the maximum channel thickness between fuel plates is 0.090 inch. The fuel meat thickness is a nominal 0.02 inch for all 24 plates and the fuel meat width ranges from approximately 1.71 inches to 5.72 inches. The nominal active fuel length is 24 inches. The maximum mass of U-235 per intact MURR fuel element is 785 grams. The MURR fuel element must be contained within the MURR Fuel Handling Enclosure, as specified in 5(a)(3).

Small Quantity Payloads (RINSC fuel elements, ATR Full-size plate In Flux trap Position [AFIP] elements, U-Mo foils, Design Demonstration Elements [DDEs] and similar test elements, MIT loose fuel element plates, or MURR loose fuel element plates) where the maximum mass of U-235 is 400 grams and maximum U-235 enrichment is 94 weight percent. Aluminum plates, shapes, and sheets, and miscellaneous steel or aluminum fasteners may be used as dunnage to fill gaps between the small quantity payloads and the small quantity FHE. Neoprene rub strips, 1/8 inch thick, may be used between the small quantity FHE and small quantity payloads and/or between the optional aluminum dunnage and the small quantity payload. The 1/8 inch thick neoprene strips shall not be stacked in more than two layers between the small quantity payload and any interior face of the small quantity FHE.

Unirradiated RINSC fuel element — The RINSC fuel material is composed of uranium silicide (U<sub>3</sub>Si<sub>2</sub>) dispersed in aluminum powder. The uranium is enriched to a maximum of 20 weight percent U-235; the maximum U-234 content is 0.5 weight percent; and the maximum U-236 content, is 1.0 weight percent. Each RINSC fuel element contains 22 flat fuel plates fitted within aluminum alloy side plates and the maximum channel thickness between fuel plates is 0.096 inch. The fuel meat thickness is a nominal 0.02 inch for all 22 plates. The maximum mass of U-235 per intact RINSC fuel element is 283 grams. The RINSC fuel element must be contained within the RINSC Fuel Handling Enclosure, as specified in 5(a)(3).

AFIP fuel element — The AFIP fuel element is composed of uranium molybdenum alloy in an aluminum-silicon matrix or uranium molybdenum alloy coated with a thin zirconium interlayer.

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The uranium is enriched to approximately 20 weight percent U-235. Each AFIP element contains 4 curved fuel plates fitted within 6061 aluminum side plates. The maximum mass of U-235 AFIP element is 365 grams. Loose plates from an AFIP fuel element are also permitted. The AFIP fuel element must be contained within the Small Quantity Payload Fuel Handling Enclosure, as specified in 5(a)(3).

**U-Mo Foils** — The U-Mo foils are composed of uranium molybdenum alloy in an aluminum-silicon matrix or uranium molybdenum alloy and may contain a zirconium coating. The uranium is enriched to a maximum of 94 weight percent U-235. The maximum mass of U-235 is 160 grams. More than one U-Mo foil type may be transported at a time. The U-Mo foils must be contained within the Small Quantity Payload Fuel Handling Enclosure, as specified in 5(a)(3).

**DDEs and similar test elements** — The DDEs and similar test elements are composed of uranium molybdenum alloy in an aluminum-silicon matrix or uranium molybdenum alloy. The uranium is enriched to a maximum of 94 weight percent U-235. The maximum mass of U-235 is 365 grams. Loose plates from a DDE or similar test element are also permitted. The DDEs or similar test elements must be contained within the Small Quantity Payload Fuel Handling Enclosure, as specified in 5(a)(3).

**MIT and MURR loose fuel element plates** — MIT and MURR loose plates may either be flat or curved and may be banded or wire-tied in a bundle. The MIT and MURR loose plate payload is limited to 400 grams of U-235. The approximate mass of U-235 of each MIT fuel plate is 34.3 grams. The approximate mass of U-235 per each MURR fuel plate is 19 to 46 grams. A mixture of MIT and MURR fuel plates may be shipped together. The fuel plates must be contained within the Small Quantity Payload Fuel Handling Enclosure, as specified in 5(a)(3).

**ATR loose fuel plates** – ATR loose plates may either be flat or curved and may be banded or wire-tied in a bundle. The ATR loose plate payload is limited to 600 grams of U-235. Additional aluminum plates may be used as dunnage to fill gaps between the fuel plates and the basket payload cavity. The fuel plates must be contained within the ATR Loose Fuel Plate Basket, as specified in 5(a)(3).

(2) Maximum quantity of material per package

The maximum total weight of contents and internals, including dunnage and other secondary packaging, is 50 lbs. Radioactive contents are not to exceed a Type A quantity.

For intact ATR, ATR U-Mo, MURR, RINSC, and MIT fuel elements: One fuel element.

For ATR loose fuel plates: A maximum of 600 grams U-235.

For Small Quantity Payloads: A maximum of 400 grams U-235.

(c) Criticality Safety Index (CSI):

For ATR, ATR U-Mo, MURR, MIT fuel elements or ATR loose fuel plates: CSI = 4.0

For Small Quantity Payloads: CSI = 25

(d) Conditions:

- (1) Fuel elements and fuel plates may be bagged or wrapped in polyethylene. The maximum weight of the polyethylene wrap shall not exceed 100 grams per package.
- (2) Types of small quantity payloads cannot be mixed in a single Fuel Handling Enclosure.
- (3) Air transport of fissile material is not authorized.

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- (4) In addition to the requirements of 10 CFR 71 Subpart G:
- (a) The package must be loaded and prepared for shipment in accordance with the Package Operations in Section 7 of the Safety Analysis Report (SAR).
  - (b) The package must be tested and maintained in accordance with the Acceptance Tests and Maintenance Program in Section 8 of the SAR.



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Washington, D.C. 20590

**Pipeline and  
Hazardous Materials  
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**CERTIFICATE NUMBER:** USA/9330/AF-96, Revision 0

**ORIGINAL REGISTRANT(S):**

Dr. James M. Shuler  
Manager, Packaging Certification Program  
Department of Energy  
U.S. Department of Energy  
1000 Independence Ave, SW  
EM-60  
Washington, DC 20585