



U.S. Department
of Transportation

Pipeline and
Hazardous Materials
Safety Administration

IAEA CERTIFICATE OF COMPETENT AUTHORITY
SPECIAL FORM RADIOACTIVE MATERIALS

CERTIFICATE USA/0695/S, REVISION 9

East Building, PHH-23
1200 New Jersey Ave, SE
Washington, D.C. 20590

This certifies that the source described has been demonstrated to meet the regulatory requirements for special form radioactive material as prescribed in the regulations of the International Atomic Energy Agency¹ and the United States of America² for the transport of radioactive material.

1. Source Identification - Southwest Research Institute (SwRI) Model III Source Capsule (formerly Los Alamos National Laboratory (LANL) Model III Source Capsule).
2. Source Description - Cylindrical single over-encapsulation consisting of a capsule body, sealing plug, and cap made of stainless steel that provides a metal-to-metal seal when assembled. Approximate outer dimensions are 63.5 mm (2.5 in.) in diameter and 177.8 mm (7.0 in.) in length. Minimum wall thickness is 7.62 mm (0.3 in.). Final assembly shall be in accordance with either attached SwRI Drawing SWRI_SFC_III_P, Rev A, or LANL Drawing No. 90Y-220045, Rev. A.
3. Radioactive Contents - The capsule described by this certificate is authorized to contain any one of the following single radionuclides, the sole pair of radionuclides, or either one of the two sets of six (6) radionuclides, in the chemical forms identified, and limited to the activities shown, in the table below. The radioactive material is limited to solid form in stainless steel capsules, between layers of non-radioactive stainless steel, or affixed to non-radioactive stainless steel by electroplating or other means. The maximum mass of the contents is limited to 1,000 grams.

¹ "Regulations for the Safe Transport of Radioactive Material, 2018 Edition, No. SSR-6 (Rev. 1)" published by the International Atomic Energy Agency (IAEA), Vienna, Austria.

² Title 49, Code of Federal Regulations, Parts 100-199, United States of America.

CERTIFICATE USA/0695/S, REVISION 9

Radionuclide (s)	Maximum Activity(ies)	Chemical/Physical Form
Americium-241	9.99 TBq (270.0 Ci)	Oxide or oxide incorporated into a ceramic enamel
Americium-241:Target (Be, Li, C, F, or B)	9.99 TBq (270.0 Ci)	Oxide mixed with target material pressed into a solid pellet or intermetallic
Americium-241:Be AND Cesium-137	Am-241 - 37.0 GBq 1.0 (Ci) Cs-137 - 7.4 GBq (200.0 mCi)	Am-241 - Oxide mixed with beryllium powder pressed into a solid pellet or intermetallic Cs-137 - Cesium in silicate glass matrix, sulfate pellet, compressed anhydrous chloride pellet or aluminosilicate ceramic pellet
Californium-252	199.8 GBq (5.4 Ci)	Oxide or oxide in sintered palladium metal to form a cermet
Cesium-137	55.5 TBq (1500.0 Ci)	Cesium in silicate glass matrix, sulfate pellet, compressed anhydrous chloride pellet or aluminosilicate ceramic pellet
Cobalt-60	40.0 TBq (1081.1 Ci)	Metal
Curium-244	20.0 TBq (540.5 Ci)	Oxide or oxide incorporated into a ceramic enamel
Iridium-192	37.0 TBq (1000.0 Ci)	Metal
Neptunium-237	20.0 TBq (540.5 Ci)	Metal, alloy, or oxide
Plutonium-238	9.99 TBq (270.0 Ci)	Oxide or oxide incorporated into ceramic or refractory composite plate metal

CERTIFICATE USA/0695/S, REVISION 9

Radionuclide (s)	Maximum Activity(ies)	Chemical/Physical Form
Plutonium-238:Target (Be, Li, C, F, or B)	9.99 TBq (270.0 Ci)	Metal or oxide mixed with target material pressed into a solid pellet
Plutonium-239 AND Plutonium-238 AND Plutonium-240 AND Plutonium-241 AND Plutonium-242 AND Americium-241	Pu-239 - 3.7 TBq (100 Ci) Pu-238 - 9.99 TBq (270 Ci) Pu-240 - 9.99 TBq (270 Ci) Pu-241 - 40.0 TBq (1081.1 Ci) Pu-242 - 9.99 TBq (270 Ci) Am-241 - 9.99 TBq (270 Ci)	Oxide incorporated into a ceramic, refractory composite, metal foil, or metal plated to substrate
Plutonium-239:Target (Be, Li, C, F, or B) AND Plutonium-238 AND Plutonium-240 AND Plutonium-241 AND Plutonium-242 AND Americium-241	Pu-239 - 3.7 TBq (100 Ci) Pu-238 - 9.99 TBq (270 Ci) Pu-240 - 9.99 TBq (270 Ci) Pu-241 - 40.0 TBq (1081.1 Ci) Pu-242 - 9.99 TBq (270 Ci) Am-241 - 9.99 TBq (270 Ci)	Metal or oxide mixed with target material pressed into a solid pellet

CERTIFICATE USA/0695/S, REVISION 9

Radionuclide (s)	Maximum Activity(ies)	Chemical/Physical Form
Strontium-90	37.0 TBq (1000.0 Ci)	Strontium titanate, strontium fluoride, oxide in ceramic enamel or fluoride in aluminum or tin antimony metal matrix
Radium-226	370.0 GBq (10.0 Ci)	Sulfate, chloride, or halide carbonate
Radium-226:Be	370.0 GBq (10.0 Ci)	Sulfate, chloride, or halide carbonate mixed with beryllium target material

4. Special Conditions -

- a. Capsule assembly and documentation shall be conducted in accordance with SwRI procedure SFC-001, Rev 1, QA and Assembly of Special Form Capsules (SFC) or SFC-002, Rev 1, Closure of Special Form Capsules (SFC).
- b. Capsule components must have been obtained from either SwRI or an SwRI Approved Vendor.
- c. A copy of the applicable, completed Traveler Sheet required by SwRI procedure SFC-001, QA and Assembly of Special Form Capsules(SFC) or SFC-002, Closure of Special Form Capsules (SFC), shall be attached to this IAEA Certificate of Competent Authority to demonstrate the regulatory requirements for special form radioactive material have been met.
- d. Capsule assemblies successfully closed in accordance with earlier procedures shall remain valid.

5. Management System Activities

- a. Each assembler of the Model III Source Capsule shall register their identity, in writing, and provide evidence of a Management System based on international or national standards to the Office of Hazardous Material Technology (PHH-23), Pipeline and Hazardous Materials Administration, U.S. Department of Transportation, Washington, D.C. 20590-0001.

CERTIFICATE USA/0695/S, REVISION 9

- b. Assembly of the Model III Source Capsule shall be performed under the Management System registered with the U.S. DOT.
 - c. Records of Management System activities required by Paragraph 306 of the IAEA regulations shall be maintained and made available to the authorized officials for at least three years after the last shipment authorized by this certificate. Consignors in the United States exporting shipments under this certificate shall satisfy the requirements of Subpart H of 10 CFR 71.
6. Expiration Date - This certificate expires on May 30, 2030. Previous editions which have not reached their expiration date may continue to be used.

This certificate is issued in accordance with paragraph(s) 804 of the IAEA Regulations and Section 173.476 of Title 49 of the Code of Federal Regulations, in response to the May 12, 2025 petition by Southwest Research Institute, San Antonio, TX, and in consideration of other information on file in this Office.

Certified By:

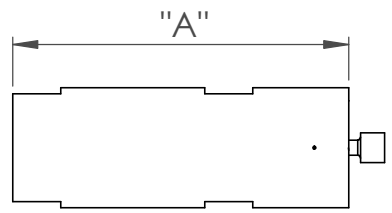
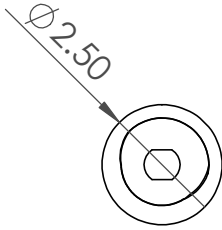
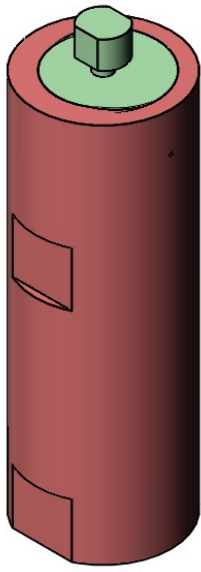
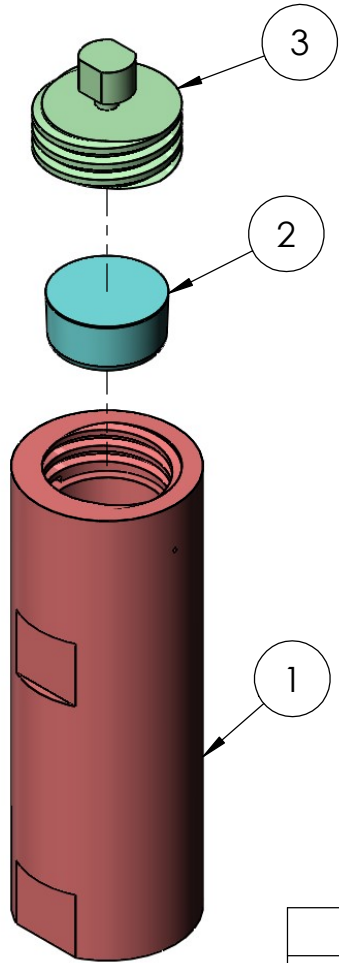
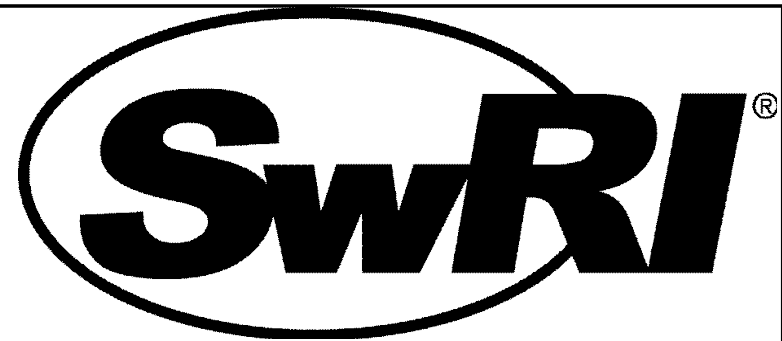


William Schoonover
Associate Administrator for Hazardous
Materials Safety

May 28, 2025
(DATE)

Revision 9 - Issued to endorse to 2018 edition of the IAEA Regulations for the Safe Transport of Radioactive Material, SSR-6 (Rev. 1) and to extend the expiration date.

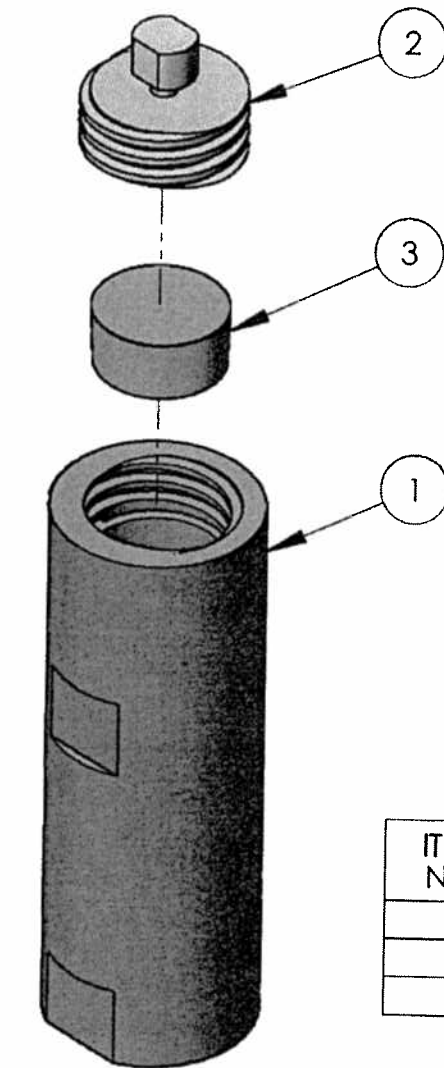
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SHORT	7



- NOTES:
1. THREAD DEPTH .680.
 2. THREAD, 2-4 ACME 2G
 3. ITEM (3) LUBRICANT, DUPONT, KRYTOX LVP FLOURINATED GREASE.

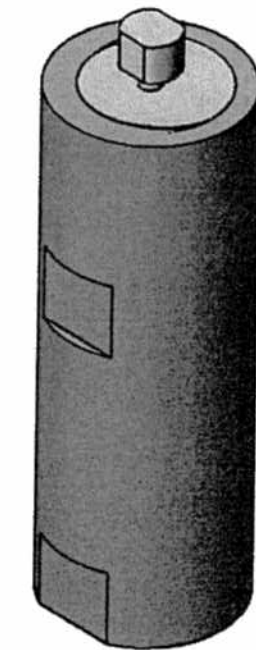
ITEM	PART OR DRAWING NO.	MATERIAL	QTY
1	SWRI_SFC_3-1	STAINLESS STEEL 304	1
2	SWRI_SFC_3-3	STAINLESS STEEL 304	1
3	SWRI_SFC_3-4	STEEL, ZINC-PLATED	1

		UNLESS OTHERWISE SPECIFIED:		NAME	DATE	TITLE: SWRI SOURCE CONTAINMENT CAPSULE MODULE III		
		DIMENSIONS ARE IN INCHES	DRAWN	TURNER T.	6/01/2020			
		TOLERANCES: FRACTIONAL ±	CHECKED					
		ANGULAR: MACH ± BEND ±	ENG APPR.					
		TWO PLACE DECIMAL ±	MFG APPR.					
		THREE PLACE DECIMAL ±						
		INTERPRET GEOMETRIC TOLERANCING PER:	Q.A.					
		MATERIAL	COMMENTS:					
			POINT OF CONTACT			SIZE	DWG. NO.	REV
NEXT ASSY	USED ON	FINISH	MIKE DAMMANN			A	SWRI_SFC_III_P	A
			210 522 5428					
APPLICATION		DO NOT SCALE DRAWING				SCALE: 1:5	WEIGHT: 17.133	SHEET 1 OF 1

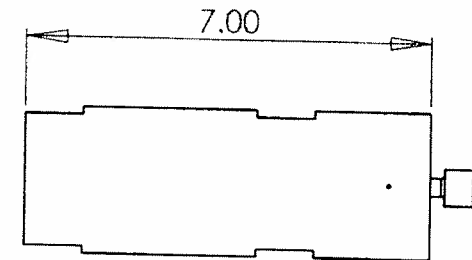
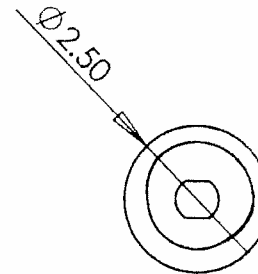


EXPLODED VIEW
SCALE: NONE

SIMPLIFIED SKETCH
DRAWING



ISOMETRIC VIEW
SCALE: NONE



NOTES:

1. THREAD DEPTH .680.
2. THREAD, 2-4 ACME 2G.
3. ITEM (3) LUBRICANT, DUPONT, KRYTOX LVP FLUORINATED GREASE.

ITEM NO.	PART NUMBER	MATERIAL	Default t/QTY.
1	CAPSULE CYLINDER, LANL P/N 90Y-220045-2	STAINLESS STEEL	1
2	SEALING PLUG, LANL P/N 90Y-220045-1	STAINLESS STEEL	1
3	CAP, LANL P/N 90Y-220045-3	STAINLESS STEEL	1

UNLESS OTHERWISE SPECIFIED:

DIMENSIONS ARE IN INCHES
TOLERANCES:
FRACTIONAL ±
ANGULAR: MACH ± BEND ±
TWO PLACE DECIMAL ±
THREE PLACE DECIMAL ±

INTERPRET GEOMETRIC
TOLERANCING PER:
MATERIAL

FINISH

DRAWN

CHECKED

ENG APPR.

MFG APPR.

Q.A.

COMMENTS:

NAME

MIKE HOOD

MIKE HOOD

DANNY MARTINEZ

TITLE:

AET-1
OSR
SOURCE CONTAINMENT
CAPSULE MODULE III

POINT OF CONTACT
CRISTY ABEYTA
505 667 4711

SIZE DWG. NO.

A 90Y-220045

SCALE: NONE

REV

A

SHEET 1 OF 1

NEXT ASSY

USED ON

APPLICATION

DO NOT SCALE DRAWING



U.S. Department of
Transportation

Pipeline and
Hazardous Materials
Safety Administration

East Building, PHH-23
1200 New Jersey Ave, SE
Washington, D.C. 20590

CERTIFICATE NUMBER: USA/0695/S-96

ORIGINAL REGISTRANT(S) :

QSA Global, Inc.
40 North Avenue
Burlington, MA, 01803
USA

Department of Energy
U.S. Department of Energy
1000 Independence Ave, SW
EM-60
Washington, DC, 20585
USA

Gammatron
5703 Etheridge St
Houston, TX, 77087
USA

J.L. Shepherd & Associates
1010 Arroyo Ave.
San Fernando, CA, 91340-1822
USA

Stuart Hunt & Associates Ltd
20 Rayborn Crescent St.
Alberta, Canada, T8N 5C1
Canada

Los Alamos National Laboratory Off-Site Source Recovery Project
Operations Support - Packaging and Transportation
P.O. Box 1663, Mail Stop: A194
Los Alamos, NM, 87545
USA

Canadian Nuclear Laboratories
286 Plant Road
Chalk River, Ontario, K0J 1J0

Canada

Pacific Northwest National Laboratory
Pacific Northwest National Laboratory
902 Battelle Boulevard
P.O. Box 999, MS J2-25
Richland, WA, 99354
USA

National Nuclear Security Administration, Department of Energy
P.O. Box 5400
Albuquerque, NM, 87185
USA

UT-Battelle, LLC
P.O. Box 2008
Oak Ridge, TN, 37831-6288
USA

UChicago Argonne, LLC
9700 South Cass Avenue
Argonne, IL, 60439
USA

Lawrence Livermore National Laboratory
Vaults and Transportation, Materials Management, L-347
Lawrence Livermore National Laboratory
7000 East Ave
Livermore, CA, 94550
USA

Nuclear Australia Pty Ltd
27 Cumberland Drive
Seaford Victoria 3198, Australia,
Australia

Eckert & Ziegler Environmental Services Ltd
3A Didcot Park, Churchward
Southmead
Didcot, Oxfordshire, OX11 7HB
England

SGS Australia Pty Ltd
10/585 Blackburn Road
Notting Hill Victoria 3168, Australia,
Australia

Southwest Research Institute
6220 Culebra Road
San Antonio, TX, 78238

USA