



U.S. Department
of Transportation
**Pipeline and
Hazardous Materials
Safety Administration**

East Building, PHH-23
1200 New Jersey Avenue Southeast
Washington, D.C. 20590

**COMPETENT AUTHORITY CERTIFICATION
FOR A TYPE FISSILE
RADIOACTIVE MATERIALS PACKAGE DESIGN
CERTIFICATE USA/0412/AF-96, REVISION 16**

**REVALIDATION OF GERMAN COMPETENT AUTHORITY
CERTIFICATE D/4305/AF-96**

This certifies that the radioactive material package design described is hereby approved for use within the United States for import and export shipments only. Shipments must be made in accordance with the applicable regulations of the International Atomic Energy Agency¹ and the United States of America².

1. Package Identification - BU-D.
2. Package Description and Authorized Radioactive Contents - as described in Germany Certificate of Competent Authority D/4305/AF-96, Revision 9 (attached). Contents are restricted to those which are described in the German Certificate of Approval and have a uranium-235 enrichment of 5.0 weight percent or less.
3. Criticality - The minimum criticality safety index is 0.71. The maximum number of packages per conveyance is determined in accordance with Table X of the IAEA regulations cited in this certificate.
4. General Conditions -
 - a. Each user of this certificate must have in his possession a copy of this certificate and all documents necessary to properly prepare the package for transportation. The user shall prepare the package for shipment in accordance with the documentation and applicable regulations.
 - b. Each user of this certificate, other than the original petitioner, shall register his identity in writing to the Office of Hazardous Materials Technology, (PHH-23), Pipeline and Hazardous Materials Safety Administration, U.S. Department of Transportation, Washington D.C. 20590-0001.

¹ "Regulations for the Safe Transport of Radioactive Material, 1996 Edition (Revised), No. TS-R-1 (ST-1, Revised)," published by the International Atomic Energy Agency (IAEA), Vienna, Austria.

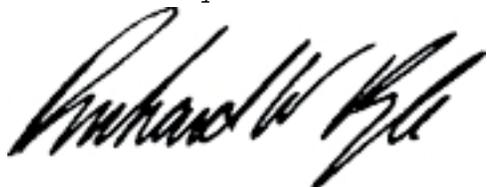
² Title 49, Code of Federal Regulations, Parts 100-199, United States of America.

CERTIFICATE USA/0412/AF-96, REVISION 16

- c. This certificate does not relieve any consignor or carrier from compliance with any requirement of the Government of any country through or into which the package is to be transported.
 - d. Records of Quality Assurance activities required by Paragraph 310 of the IAEA regulations¹ shall be maintained and made available to the authorized officials for at least three years after the last shipment authorized by this certificate. Consignors in the United States exporting shipments under this certificate shall satisfy the applicable requirements of Subpart H of 10 CFR 71.
5. Marking and Labeling - The package shall bear the marking USA/0412/AF-96 in addition to other required markings and labeling.
6. Expiration Date - This certificate expires on November 30, 2018. On January 31, 2014, this certificate supersedes all previous revisions of USA/0412/AF-96.

This certificate is issued in accordance with paragraph 814 of the IAEA Regulations and Section 173.472 and 173.473 of Title 49 of the Code of Federal Regulations, in response to the November 13, 2013 petition by Global Nuclear Fuels - Americas, Wilmington, NC, and in consideration of other information on file in this Office.

Certified By:



Dr. Magdy El-Sibaie
Associate Administrator for Hazardous Materials Safety

Dec 17 2013

(DATE)

Revision 16 - Issued to revalidate German Certificate of Approval No. D/4305/AF-96, Revision 9, for a maximum enrichment of five weight percent U-235.

Federal Agency for Radiation Protection



Certificate of Approval

D/4305/AF-96 (Rev. 9)

for a package design of Type A for fissile radioactive materials

Pursuant to the application filed by company Nuclear Cargo + Service GmbH, Hanau on May 29, 2013 (Ref. No.: bw/ck) the container with the manufacturer designation "BU-D" is approved as Type A package design for fissile radioactive materials, according to the following regulations for transport by road, rail, sea and air:

Regulations for the Safe Transport of Radioactive Materials, 2009 Edition, International Atomic Energy Agency (IAEA), No. TS-R-1,

European Agreement for International Transports of Dangerous Goods by Road (ADR) of September 30, 1957 (BGBl. 1969 II p. 1489), Attachments A and B in the version of the publication dated June 3, 2013 (BGBl. 2013 II p. 648)

Regulations for International Transports of Dangerous Goods by Rail (RID) - Enclosure C of the Agreement concerning International Railway Traffic (COTIF Agreement) of May 9, 1980 (BGBl. 1985 II p. 130), in the version of the publication dated May 16, 2008 (BGBl. 2008 II p. 475,899; 2009 II p. 1188, 1189; 2010 II p. 1273; 2012 II p. 168, 169, 1338), last modified by the 18th RID Modification Decree of May 25, 2013 (BGBl. 2013 II p. 562),

International Maritime Dangerous Goods Code (IMDG-Code), Amendment 35-10,

International Civil Aviation Organization – Technical Instructions for the Safe Transport of Dangerous Goods by Air, Edition 2013/2014,

Regulations for the Domestic and International Transport of Dangerous Goods by Road, Rail and Inland Waterways (Dangerous Goods Regulations Road, Rail and Inland Navigation- GGVSEB) in the version of the publication dated January 22, 2013 (BGBl. 2013 I p. 110),

Regulations for Maritime Transports of Dangerous Goods (Dangerous Goods Regulations Sea - GGVSee) in the version of the publication dated December 16, 2011 (BGBl. 2011 I p. 2784, 2012 I p. 122) which was modified by article 4 of the decree dated December 19, 2012of (BGBl. 2012 I p. 2715),

Air Traffic – Approval – Order in the version of the publication dated July 10, 2008 (BGBl. 2008 I p. 1229, 2012 I p. 1032) last modified through article 28 of the law of July 25, 2013 (BGBl. 2013 I p. 2749) in combination with the ICAO Dangerous Goods Regulations (ICAO Technical Instructions)

in combination with the Guidelines for the procedure of licensing package designs for the transport of radioactive material, of radioactive materials in special form and low dispersible radioactive materials (R003) in the version of the publication dated November 2004 (VkBli. 2004 p. 594).and with the BAM-Dangerous Goods Guidelines for quality assurance measures of packagings for competent authority approved package designs for the transport of radioactive material (BAM-GGR 011) Rev. 0 dated June 25 2010 (Amts- und Mitteilungsblatt of BAM 2011 p. 323)

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put in force by the publication dated July 1, 2010 (VkB1. 2010 p. 282).

It is certified that the Federal Agency for Radiation Protection, Salzgitter, is the Competent Authority authorized by the Federal Ministry of Traffic, Building and Urban Affairs according to chapter 7.9 of the IMDG Code.

Holder of approval: Company Nuclear Cargo + Service GmbH (NCS)
Rodenbacher Chaussee 6
63457 Hanau

Documents:

NCS application dated May 29, 2013 (Ref.: bw/ck)

Safety analysis report NCS 0601, Rev. 3 of NCS dated October 2013

Expert comment of the Federal Agency for Materials Research and Testing (BAM) dated 7.2.2002 (Ref.: III.3/20821) and 5.4.2004 (Ref.: III.3/21011), letter of BAM dated 30.4.2004 (Ref.: III.32/Ku), expert comment of BAM dated 28.11.2005 (Ref.: III.3/21146) and 9.6.2006 (Ref.: III.32/21164), letters of BAM dated 6.9.2006, 5.3.2007 (Ref.: III.32/Dau) and 19.7.2007 (Ref.: III.32/Jo), expert comment of BAM dated 12.11.2008 (Ref.: III.3/21304), letter of BAM dated 20.1.2009 (Ref.: III.32/Dau) and expert comment of BAM dated 31.10.2013 (Ref.: 3.3/Ku)

Concerning the demonstration of criticality safety, special reference is made to chapter 8 of the safety report NCS 0601, Rev. 3 and the Working Report No. KWU BT33/94/029 of Siemens dated 15.3.1994, the letter of Siemens dated 25.4.1994 (Ref.: BT33/4746/94), the report of Siemens dated 22.2.1999, the calculation note No. RN-01-03, Rev 2 of NCS dated 15.4.2004 and calculation note No. RN-05-03, Rev. 0 of NCS dated 26.7.2005, all included in safety report NCS 0601 (Rev. 3).

Manufacturer's designation: BU-D Container

Package identification: D/4305/AF-96

Validity of approval: until and including November 30, 2018

Criticality Safety Index (CSI): 0.71

Allowable content:

Depleted, natural and/or enriched uranium with a maximum enrichment (mass content of U-235) of 10% in accordance with the following table:

Enrichment (Mass content) of U-235	Composition	Form	max. mass of U-235 in the depleted, natural and enriched uranium (kg)
≤ 4%	Uraniumoxide, Ammoniumdiuranate (ADU), Uranyl nitrate solid (UNH), Ammoniumuranylcarbonate (AUC), Uraniumtetrafluoride and/or Sodiumuranate	Any ¹⁾	0.9
≤ 5%			0.8
≤ 10%	Uraniumoxide	Powder	0.65

- 1) Pellets and comparable forms of fissile material arrangements, which may form a lattice, are only allowed with a outer dimension of the pellets or the fissile material arrangements of 8 mm or more.

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Impurities may be contained in addition to the uranium, such as fluff, dust, sand, iron hydroxide. Not allowed however are impurities

- with additional dangerous properties,
- with a mean hydrogen density greater than water,
- with beryllium or deuterium with a mass greater than 1% of the fissile material mass. Excepted from this is deuterium in natural concentration in water.

If uranyl nitrate is part of the content no additional easy or normal oxidizing materials may be present in the content.

The H/U ratio within the package is unlimited; nevertheless, the material must be in solid form.

The mass of the content is limited to maximum 90 kg.

The total activity must not exceed 1 A₂.

Package design:

The package with the designation BU-D fulfils, as far as mechanical and thermal characteristics are concerned, and according to the above mentioned expert comments and letters of BAM, and as far as criticality safety and radiation shielding are concerned, according to evaluations performed by BfS, the requirements towards a Type A package for fissile radioactive materials (IAEA Regulations §§ 633 and 671).

The leakage of water into all voids was assumed for the criticality analysis.

Package description:

The package consists of an outer container made of steel sheet (213 l drum), which is closed with a clamping ring and sealed with an O-Ring gasket and an inner container closed by a flanged lid and a flat gasket. The inner container may contain up to 3 stainless steel pails containing the nuclear fuel. The nuclear fuel pails have a maximum inner diameter of 285 mm. Between the pails and the inner container a protection device is placed which is suitable for the pail diameter (distance frame or protection tube). The space between the outer and the inner container is filled with light concrete acting as thermal insulation; after loading, a disc of light concrete sheathed in steel sheet is set between the lids of the inner and the outer container.

The containment system consists of the inner container with its lid, gasket and screwed closing.

The confinement system consists of the inner container with its lid, gasket and screwed closing.

A schematic drawing of the package (Data Sheet No. 001-068-00, Index e) is enclosed as Enclosure 1

The outer dimensions are: Diameter 608 mm, Height 890 mm

The masses of the package are: Tare weight approx. 160 kg, Gross weight max. 260 kg

With the present certificate correspond at the present time the packagings designated in Enclosure 2 by the respective parts list revisions (see also auxiliary directive No. 7).

Auxiliary directives and remarks:

1. All quality assurance measures for planning, accompanying controls and operation must be in agreement with the Dangerous Goods Guidelines of BAM "Quality Assurance Measures of Packagings for Competent Authority Approved Package Designs for the Transport of Radioactive Material" (BAM-GGR 011, Re. 0).
2. The new manufacture of packagings is only allowed according to the design documents with the highest revision index in enclosure 2 including the modifications according to remark No. 7 and by observing specification SB-02-02, Rev. 2 of company NCS.

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3. This approval only is valid together with the acceptance certificates for the package design series, which must be sent to BAM and BfS uncalled. Deviations tolerated by BAM in accordance with BAM-GGR 011 as well as modifications in accordance with auxiliary directive No. 7 have to be documented in this acceptance certificate. For package design series which are already manufactured the deviations and modifications in accordance with auxiliary directive No. 7 tolerated by BAM have to be documented in the Documentation Book for the package design series.
4. It must be made sure that each user of the packaging will, before using the packaging for the first time, register with BfS and confirm that he is in possession of the Documentation Book, which especially contains the Approval Certificate, the Handling and Maintenance Instructions and the Instructions for Periodic Inspections, and that he observes this book. In this respect, the following documents must be mentioned in particular:
 - Handling Instructions HA-97-09, Rev. 3 "Handling of the BU-D Package", from NCS Company, dated April 2003,
 - Testing instruction PA-03-05, Rev. 0 "Contamination Control and Dose Rate Measurement of the BU-D Packages", from NCS Company, dated April 2003,
 - Testing instruction PA-00-01, Rev. 3 "Periodic Inspection of the BU-D Package", from NCS Company, dated April 2003,
 - Inspection instruction PA-03-04, Rev. 1 "Inspections of the BU-D Package within the Scope of Maintenance, of Regular and Periodic Inspections", from NCS Company, dated October 2013,

The use of documents with a higher revision index is only allowed in connection with this certificate after release by BAM and approval by BfS.

5. Each package according to this series design must be submitted to periodic inspections in due time. For design series that will exclusively be used outside the Federal Republic of Germany, the periodic inspections may be performed and certified by personnel authorized by the authorities of the concerned countries. Certifications proving that the periodic inspections were carried out must be sent to BAM and BfS uncalled.
6. Each package according to this series design must be durably marked with the above-mentioned identification and with the date (month, year) of the next periodic inspection.
7. Any modifications of drawings, parts lists and material specifications, on which the approval is based, must be released by BAM and approved by BfS before production may start.
8. The handling, loading and unloading of the container may only be done in areas which are free of contamination.
9. Each packaging for which no certificate according to DIN 25415 or ISO 8690 about the good decontamination properties of the paint is present has to be newly painted until November 30, 2018 according to specification SB-02-02, Rev. 2.
10. This approval does not relieve the user from the duty to respect the regulations of the countries towards or through whose territory this package is transported.
11. Certificate of approval D/4305/AF-96 (Rev. 8) remains valid until January 31, 2014.

Costs:

1. Based on § 12, Articles 1 and 2 of the Law on the Transport of Dangerous Goods (Gefahrgutbeförderungsgesetz - GGBeFG), in the version published on July 7, 2009 (BGBl. I p. 1774, 3975), last modified by article 2 paragraph 148 of the law dated August 7, 2013 (BGBl. I p. 3154) combined with § 1 para. 2 of the Decree Concerning Fees for Measures during the Transport of Dangerous Goods (GGKostV) of March 7, 2013 (BGBl. 2013 I p. 466), costs - fees and expenditures - will be charged for this notification. The costs arise from § 2 in connection with attachment 2 of the GGKostV.
- 2 According to § 12 Article 1 of the GGBeFG, related to § 13 Article 1 No. 1 of the Law on Administrative Expenses (VwKostG) of June 23, 1970 (BGBl. I p. 821), in the version valid until August 14, 2013 dated December 5, 2012 (BGBl. I p. 2415) these costs shall be carried by company NCS.
3. The assessment of costs will be notified separately.

Statement of rights of appeal:

Objections against this authorization may be filed within one month after its issuing. Objections must be filed with the Federal Agency for Radiation Protection, Willy-Brandt-Str. 5, 38226 Salzgitter, either in written form, or to be written down.

Salzgitter, November 11, 2013

In representation

(Stamp)

Dr. Reiche

Enclosures

Attachment

Enclosure 1: Data Sheet No. 001-068-00 (Index e)

Enclosure 2: Type list

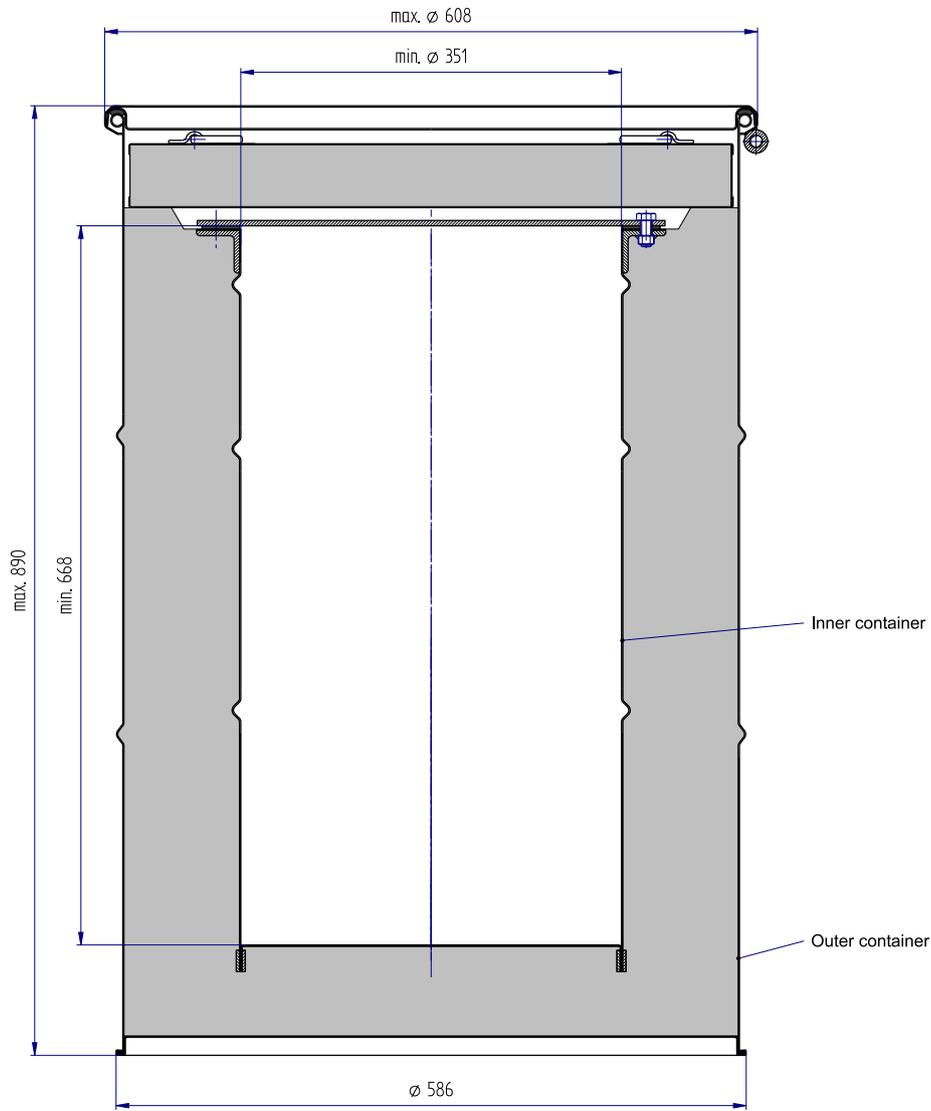
- Attachment to Certificate of Approval D/4305/AF-96 (Rev. 9) -

Rev. No.	Date of publication	Valid until	Reason for the revision
0 ^{*)}	30.01.1992	31.01.1995	First issue
1 ^{*)}	23.08.1995	31.08.1998	Complete new version, among others with the modification of the allowable contents and the transport index.
2 ^{*)}	14.08.1998	31.08.2001	Modification of the sections concerning Traffic Regulations, Holder of Approval, Documents, Validity, Auxiliary Directives and Remarks.
3 ^{*)}	08.08.2001	31.12.2001	Modification of the sections concerning Traffic Regulations, Holder of Approval, Documents, Validity, Auxiliary Directives and Remarks.
4	26.02.2002	28.02.2005	Conversion to the regulations based on the IAEA Regulations TS-R-1 including change of the package identification extension of the content; modification of the sections concerning Traffic Regulations, Documents, Marking, Validity, Allowable Content, Auxiliary Directives and Remarks.
5	23.06.2004	30.6.2006	Modification of the allowable content, Increase of the maximum allowable inner diameter of the pails, Inclusion of the carrier air, Revision of the handling, maintenance and test reports, Modification of the sections Traffic Regulations, Documents, Validity, Allowable Content, Auxiliary Directives and Remarks.
6	21.12.2005	31.12.2006	Modification of the Allowable Content: Modification of the sections Transport Regulations, Documents, Validity, Allowable Content, Auxiliary Directives and Remarks.
7	15.09.2006	31.07.2009	Deleting of the uranium mass limitation, new safety report; modification of the sections Transport Regulations, Documents, Validity, Allowable Content, Auxiliary Directives and Remarks.
8	05.02.2009	31.01.2014	Content restriction if uranyl nitrate is present; Modification of the sections Transport Regulations, Documents, Validity, Allowable Content, Package Design, Package Description, Auxiliary Directives and Remarks, Enclosures.
9	11.11.2013	30.11.2018	Modification of the sections Transport Regulations, Documents, Validity, Package Description, Auxiliary Directives and Remarks Remark: Certificate of Approval D/4305/AF-96 (Rev. 8) remains valid until 31.01.2014.

^{*)} Revisions of the certificate of approval D/4305/AF-85

- Enclosure 1 to Certificate of Approval D/4305/AF-96 (Rev. 9) -

	<h2>DATA SHEET</h2>	No. 001-068-00
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Masses:
 Tare approx. 160 kg
 Gross approx. 260 kg

Designation
Container BU-D

					Für diese Unterlage behalten wir uns alle Rechte vor. (Urheberschutz DIN 34)		
e	Nr. 060302 - Masse Tara von 100 kg auf 160 kg geändert	13.03.2006	Korn	1987	Tag	Name	
d	Übernahme auf CAD	10.07.2002	Korn	Bearb.	04.11.	Adelmann	
Index	Änderung	Tag	Name	Gepr.	04.11.	Krichelmann	

- Enclosure 2 to Certificate of Approval D/4305/AF-96 (Rev. 9) -

**Type List
for Container BU-D**

Container of Type BU-D which were respectively will be manufactured according to the parts lists mentioned in the following are in agreement with the package design mentioned in this certificate of approval (see also auxiliary directives 2, 3 and 7).

Parts List Revision	Release by BAM
001-068, Index c	Letter of BAM dated 19.7.2007 (Ref.: III.32/Jo)

Salzgitter, November 11, 2013

In representation

Dr. Reiche



U.S. Department
of Transportation

East Building, PHH-23
1200 New Jersey Avenue SE
Washington, D.C. 20590

**Pipeline and
Hazardous Materials
Safety Administration**

CERTIFICATE NUMBER: USA/0412/AF-96, Revision 16

ORIGINAL REGISTRANT(S):

Mr. Scott Murray
Manager, Facility Licensing
Global Nuclear Fuels - Americas
3901 Castle Hayne Road
Mail Code K-84
Wilmington, 28401
USA

Mr. Phillip Ollis
Global Nuclear Fuels - Americas
3901 Castle Hayne Road
Mail Code K-84
Wilmington, 28401
USA

REGISTERED USER(S):

Ms. Franchone Oshinowo
Vice President of Operations
Edlow International Company
1666 Connecticut Ave, N.W.
Suite 201
Washington, 20009
USA

Mr. D. Steinigeweg
Licensing Manager
ANF
Framatome ANP
Advance Nuclear Fuels
Industriepark Sued
D-49811 Lingen, Germany
GERMANY

Mr. Darren Condrey
Transport Logistics International
Transport Logistics International
8161 Maple Lawn Blvd
Suite 450
Fulton, 20759
USA

Mr. Mark Lambert
Transport Logistics International
Transport Logistics International
8161 Maple Lawn Blvd.
Suite 450
Fulton, 20759
USA

Charlie Sanders
Nuclear Criticality Safety & Shipping Containers
Areva - TN Inc
1724 Mount Athos Road
P.O. Box 11646
Lynchburg, VA 24506-1646

B. Marie Moore
Vice President of Safety and Regulatory
Nuclear Fuel Services
P.O. Box 337, MS 123
Erwin, TN 37650

Mr. Rik Droke
Licensign and Compliance Director
Nuclear Fuel Services
P.O. Box 337, MS 123
Erwin, TN 37650

Ms. Betty Miller
Regulatory & Records Management
Nuclear Fuel Services
P.O. Box 337, MS 123
Erwin, TN 37650

Mr. Jim Davis
Areva - TN Inc
2101 Horn Rapids Road
Richland, WA 99352

Mr. Eric Miller
Areva - TN Inc
P.O. Box 11646
Lynchburg, VA 24506-1646

Ms. Marilena Conde
Vice President, Marketing and Administration
Edlow International Company
1666 Connecticut Ave, N.W
Suite 201
Washington, 20009
USA

Ralf Witten
Areva - TN Inc
Abteilung ANF-LP
Advanced Nuclear Fuels GmbH
Postfach 1485
Lingen, Niedersachsen 49784
Germany

Mr. Robert Link
Areva - TN Inc
2101 Horn Rapids Road
Richland, 99352
USA

Mr. Lars-Ake Johansson
NF Supply Chain
Westinghouse Electric Sweden AB
S-721 63
Vasteras,
Sweden

Mr. Enrique Cinat
Radiological & Nuclear Safety Manager
Comision Nacional de Energia Atomica (CNEA)
Av. Del Libertador 8250
C1429 BNP - BUENOS AIRES - REPÚBLICA ARGENTINA
Buenos Aires, Argentina C1429 BNP
Argentina

Glenn Mathues
Licensing Manager (Transportation)
Areva - TN Inc
7135 Minstrel Way
Suite 300
Columbia, MD 21045

Steve Capner
Deputy Regulatory and Compliance Manager
Advance Uranium Asset Management Limited
Springfields
Salwick, Lancashire PR4 OXJ
UK

Norman Kent
Director Engineering Services
Transport Logistics International
TLI Engineering Services
3700 Forest Drive
Suite 202
Columbia, 29204
USA

Tony Grange
Regulatory and Compliance Manager
Advance Uranium Asset Management Limited
Springfields
Salwick, Preston, Lancashire PR4 OXJ
UK

Jamie Smith
Operations Manager
TAM International Inc.
980-606 Spadina Crescent East
Saskatoon, Saskatchewan S7K 3H1
Canada

Mark Campbell
Vice President, USA Operations
TAM International Inc.
TAM International USA Inc.
3567 Mountain View Drive #319
Pittsburgh, PA 15122

Mike Valenzano
Director of Transport Operations
Areva - TN Inc
7135 Minstrel Way
Suite 300
Columbia, MD 21045