

**CERTIFICATE OF COMPLIANCE  
FOR RADIOACTIVE MATERIAL PACKAGES**

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2. PREAMBLE

a. This certificate is issued to certify that the package (packaging and contents) described in Item 5 below meets the applicable safety standards set forth in Title 10, Code of Federal Regulations, Part 71, "Packaging and Transportation of Radioactive Material."

b. This certificate does not relieve the consignor from compliance with any requirement of the regulations of the U.S. Department of Transportation or other applicable regulatory agencies, including the government of any country through or into which the package will be transported.

3. THIS CERTIFICATE IS ISSUED ON THE BASIS OF A SAFETY ANALYSIS REPORT OF THE PACKAGE DESIGN OR APPLICATION

a. ISSUED TO (Name and Address)  
AREVA NP, Inc.  
2101 Horn Rapids Road  
Richland, WA 99354

b. TITLE AND IDENTIFICATION OF REPORT OR APPLICATION  
Framatome ANP, Inc. application  
dated September 5, 2003, as supplemented.

4. CONDITIONS

This certificate is conditional upon fulfilling the requirements of 10 CFR Part 71, as applicable, and the conditions specified below.

5.

(a) Packaging

(1) Model Nos.: SP-1, SP-2, and SP-3

(2) Description

Fuel assembly and fuel rod shipping containers. The packages consist of a right rectangular metal inner container and a wooden outer container, with cushioning material between the inner and outer containers.

The metal inner container is approximately 11-1/2 inches by 18 inches by 179-1/2 inches long and is positioned within a wooden outer container approximately 30 inches by 31 inches by 207 inches long. The SP-1 and SP-2 packagings differ in the length of the metal inner container and end piece. The SP-3 packagings have a reduced spacing between the fuel assembly channels and the outer surface of the metal inner container. Cushioning is provided between the inner and outer containers by phenolic impregnated honeycomb and ethafoam, or equivalent. Closure of the metal inner container and the wooden outer container is accomplished by bolts. A pressure relief (breather) valve is provided on the inner container, and is set for 0.5 psi differential. The maximum weight of the packaging and contents is 2,800 pounds.

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5.(a) (3) Drawings

The packagings are fabricated and assembled in accordance with the following Framatome ANP, Inc., and Siemens Nuclear Power Corporation/Advanced Nuclear Fuels Corporation Drawing Nos.:

- EMF-304,416, Rev. 14.
- EMF-306,272, Rev. 10.
- EMF-309,141, Rev. 1.

5.(a) (4) Product Containers

- (i) Five-inch, Schedule 40, stainless steel pipe fitted with screw type or flange closure. The product container shall be vented if it contains materials which decompose at less than 1475 °F.
- (ii) Rod shipping container as shown on Siemens Power Corporation Drawing No. EMF-309,141, Rev. 1.

5.(b) Contents

(1) Type and form of material

- (i) UO<sub>2</sub> fuel assemblies in a 7 x 7, an 8 x 8, or a 9 x 9 square array with a maximum fuel cross-section area of 25 square inches, maximum fuel length of 174 inches and maximum average enrichment of 3.3 w/o U-235. Minimum zircaloy clad thickness is 0.025 inches; maximum pellet diameter is 0.555 inches. Any number of water rods in any arrangement is permitted.
- (ii) UO<sub>2</sub> fuel assemblies in a 7 x 7, an 8 x 8, or a 9 x 9 square array with a maximum fuel length of 174 inches, and a maximum average enrichment between 3.3 to 4.0 w/o U-235. The maximum pellet diameter is 0.555 inch, and the minimum clad thickness is 0.025 inch. Any number of water rods in any arrangement is permitted, including part length rods. Each assembly contains at least 4 rods with nominal 2 weight percent Gd<sub>2</sub>O<sub>3</sub>, which are in non-perimeter locations and are symmetric about the diagonal.
- (iii) UO<sub>2</sub> fuel assemblies with a maximum U-235 enrichment of 5.0 percent by weight, and a maximum average U-235 enrichment of 4.0 percent by weight. Each fuel assembly is made up of fuel rods in a 10 x 10 square array, with a maximum fuel cross section of 5.022 square inches, a nominal pitch of 0.511 inch, and a maximum fuel length of 174 inches. The maximum pellet diameter is 0.3356 inch, the minimum clad thickness is 0.0225 inch, and the maximum U-235 enrichment in any edge rod is 4.0 percent by weight. Each assembly contains at least 6 rods with nominal 2 weight percent Gd<sub>2</sub>O<sub>3</sub>, which are symmetric about the diagonal, and each assembly contains at least 4 water rods in the 4 central rod positions.

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5.(b) (1) Type and form of material (Continued)

- (iv) UO<sub>2</sub> fuel rods with a maximum U-235 enrichment of 5.0 percent by weight, and a minimum Gd<sub>2</sub>O<sub>3</sub> content of 1.0 percent by weight. The rods may be clad with zircaloy, steel, or aluminum. The rods have a maximum fuel pellet diameter of 0.5 inch, and a maximum fuel length of 169 inches.
- (v) UO<sub>2</sub> fuel assemblies composed of fuel rods in a 10 x 10 square array, with a maximum fuel cross section of 5.0 square inches, and a maximum fuel length of 174 inches. The maximum U-235 enrichment is 5.0 weight percent, the maximum U-235 enrichment for all edge rods is 4.0 weight percent, and the maximum average enrichment, excluding perimeter rods and rods containing gadolinia (Gd<sub>2</sub>O<sub>3</sub>), is 4.0 weight percent U-235. The maximum pellet diameter is 0.35 inch, and the minimum clad thickness is 0.018 inch. Each assembly must have a water channel in the central 3 x 3 rod positions. Any number of additional water rods in any arrangement is permitted, including part length rods. Each assembly must include at least twelve rods with a minimum nominal content of 2.0 weight percent gadolinia (Gd<sub>2</sub>O<sub>3</sub>), in a pattern symmetric about one of the assembly diagonals. At least eight of the twelve gadolinia rods must be located in rows 2 and 9, and in columns 2 and 9 of the assembly.
- (vi) UO<sub>2</sub> fuel assemblies composed of fuel rods in a 10 x 10 square array, with a maximum fuel cross section of 5.0 square inches, and a maximum fuel length of 174 inches. The maximum U-235 enrichment is 5.0 weight percent. The maximum pellet diameter is 0.35 inch, and the minimum clad thickness is 0.018 inch. Each assembly must have a water channel in the central 3 x 3 rod positions. Any number of additional water rods in any arrangement is permitted, including part length rods. Each assembly must include at least eight rods with a minimum nominal gadolinia (Gd<sub>2</sub>O<sub>3</sub>) content of 2.0 weight percent in all axial regions with enriched pellets. Additional gadolinia rod specifications are included in supplement dated April 30, 1996.
- (vii) UO<sub>2</sub> fuel assemblies composed of fuel rods in a 9 x 9 square array, with a maximum fuel cross section of 5.0 square inches, and a maximum fuel length of 174 inches. The maximum U-235 enrichment is 5.0 weight percent. The maximum pellet diameter is 0.40 inch, and the minimum clad thickness is 0.015 inch. Each assembly must have a water channel in the central 3 x 3 rod positions. Any number of additional water rods in any arrangement is permitted, including part length rods. Each assembly must include at least eight rods with a minimum nominal gadolinia (Gd<sub>2</sub>O<sub>3</sub>) content of 2.0 weight percent in all axial regions with enriched pellets. Additional gadolinia rod specifications are included in supplement dated April 30, 1996.

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5.(b) (1) Type and form of material (Continued)

- (viii) UO<sub>2</sub> fuel assemblies composed of fuel rods in a 9 x 9 square array, with a maximum fuel cross-section of 25 square inches, a maximum fuel length of 174 inches, and a maximum average uranium enrichment of 4.0 weight percent U-235. The nominal pellet diameter is 0.370 inch. At least the center 3 x 3 rod locations must be a water channel. Each assembly must include at least eight rods with a minimum nominal gadolinia (Gd<sub>2</sub>O<sub>3</sub>) content of 2.0 weight percent in all axial regions with enriched pellets. The eight gadolinia rod locations are shown in Figure 1 of the supplement dated July 27, 1999.
- (ix) UO<sub>2</sub> fuel assemblies composed of fuel rods in a 10 x 10 square array, with a maximum fuel cross section of 5.0 square inches, and a maximum fuel length of 174 inches. The maximum U-235 enrichment is 5.0 weight percent, the maximum U-235 enrichment for all edge rods is 4.75 weight percent, the maximum U-235 enrichment for the four (4) corner edge rods is 3.05 weight percent, and the maximum U-235 enrichment for the eight (8) edge rods immediately adjacent to the four corner edge rods is 3.55 weight percent. The pellet diameter is between 0.30 and 0.3957 inch. Each assembly must have a water channel in a central 3 x 3 position. Any number of additional water rods in any arrangement is permitted, including part length rods. Each assembly must include at least ten rods with a minimum nominal content of 2.0 weight percent gadolinia (Gd<sub>2</sub>O<sub>3</sub>) in all axial regions with the enriched pellets, and in a pattern symmetric about one of the assembly diagonals. At least ten gadolinia rods must be located in rows 2 and 9, and in columns 2 and 9 of the assembly and cannot be immediately adjacent to another one of the ten gadolinia rods; however, diagonally adjacent is permitted. An additional upper tie plate (UTP) shipping shim may be added between the UTP and the fueled region. This UTP shim may consist of a maximum of 345 g plastic or plastic composite.
- (x) UO<sub>2</sub> fuel assemblies composed of fuel rods in a 10 x 10 square array, with a maximum fuel cross section of 5.0 square inches and a maximum fuel length of 174 inches. The maximum uranium enrichment is 2.3 weight percent U-235. The pellet diameter is between 0.30 and 0.3957 inch. Each assembly must have a water channel in a central 3 x 3 position. Any number of additional water rods in any arrangement is permitted, including part length rods. An additional upper tie plate (UTP) shipping shim may be added between the UTP and the fueled region. This UTP shim may consist of a maximum of 345 grams of plastic or plastic composite.

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5.(b) (2) Maximum quantity of material per package

Total weight of contents (fuel assemblies, or fuel rods and rod shipping containers) not to exceed 1265 pounds. Total quantity of radioactive material within a package may not exceed a Type A quantity.

- (i) For the contents described in 5(b)(1)(i), 5(b)(1)(ii), 5(b)(1)(iii), 5(b)(1)(v), 5(b)(1)(vi), 5(b)(1)(vii), 5(b)(1)(viii), 5(b)(1)(ix), and 5(b)(1)(x):

Two full length fuel assemblies. Two short fuel assemblies may be substituted for each full length fuel assembly provided the two short assemblies are shipped end-to-end and the total fuel length does not exceed 174 inches.

- (ii) For the contents described in 5(b)(1)(iv):

Two product containers specified in 5.(a)(4). Each product container may contain any number of loose fuel rods.

5.(c) Transport Index for Criticality Control (Criticality Safety Index)

Minimum transport index to be shown on label for nuclear criticality control:

- (1) For contents described in 5(b)(1)(i), 5(b)(1)(ii), 5(b)(1)(iii), 5(b)(1)(iv), and 5(b)(1)(viii), and limited in 5(b)(2)(i) and 5(b)(2)(ii): 0.4
- (2) For contents described in 5(b)(1)(v), 5(b)(1)(vi), 5(b)(1)(vii), 5(b)(1)(ix), 5(b)(1)(x), and limited in 5(b)(2)(i): 1.0

6. Each fuel assembly must be unsheathed or must be enclosed in an unsealed, polyethylene sheath which may not extend beyond the ends of the fuel assembly. The ends of the sheath may not be folded or taped in any manner that would prevent the flow of liquids into or out of the sheathed fuel assembly.

7. Polyethylene shipping shims may be inserted between rods within fuel assemblies as follows:

- (a) For contents described in 5(b)(1)(i) and 5(b)(1)(ii), up to a maximum of 0.20 gram H<sub>2</sub>O hydrogen equivalent per cubic centimeter averaged over the assembly.

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7. Polyethylene shipping shims may be inserted between rods within fuel assemblies as follows (Cont.):
  - (b) For contents described in 5(b)(1)(v), up to a maximum of 0.25 gram H<sub>2</sub>O hydrogen equivalent per cubic centimeter averaged over the assembly.
  - (c) For contents described in 5(b)(1)(viii), up to a maximum volume fraction of 0.13 averaged over the void volume of the assembly.
  - (d) For contents described in 5(b)(1)(iii), 5(b)(1)(vi), and 5(b)(1)(vii), polyethylene shipping shims are not permitted.
  - (e) For contents described in 5(b)(1)(ix) and 5(b)(1)(x), up to a maximum volume fraction of 0.14 averaged over the void volume of the assembly.
8. Only contents described in 5(b)(1)(viii) and 5(b)(1)(ix) are authorized for transport in Model No. SP-3 packages.
9. Maximum average enrichment means the highest average enrichment through any cross sectional plane of the assembly.
10. In addition to the requirements of Subpart G of 10 CFR Part 71:
  - (a) The package must be prepared for shipment and operated in accordance with the Operating Procedures in Chapter 7 of the application dated September 5, 2003, as supplemented.
  - (b) Each packaging must be acceptance tested and maintained in accordance with the Acceptance Tests and Maintenance Program in Chapter 8 of the application dated September 5, 2003, as supplemented.
11. The package authorized by this certificate is hereby authorized for use under the general license provisions of 10 CFR §71.17.
12. Transport by air of fissile material is not authorized.
13. Revision 19 of this certificate may be used until October 31, 2013.
14. Expiration date: April 30, 2014.
15. Fabrication of new packagings is not authorized.

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REFERENCES

Framatome ANP, Inc., application dated September 5, 2003.

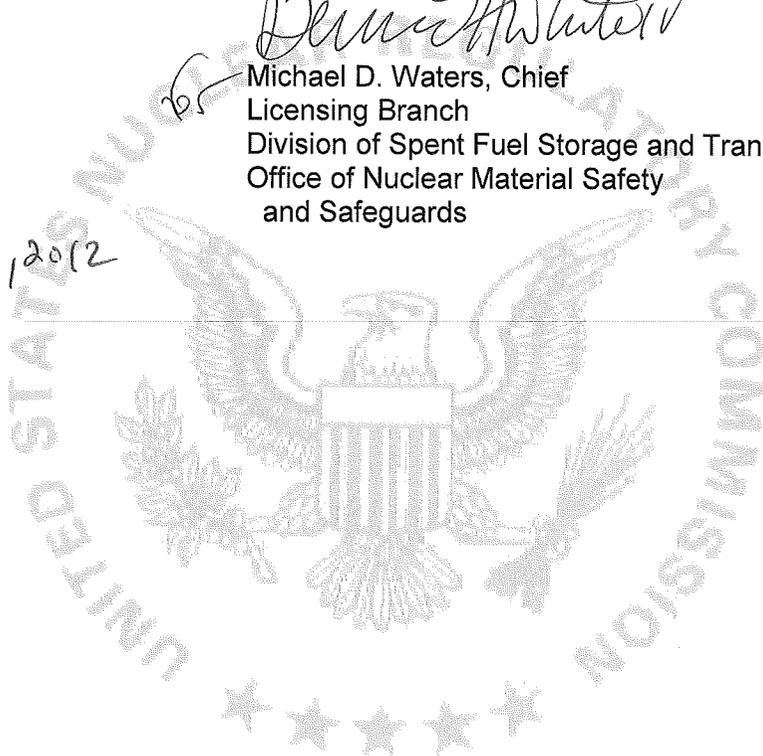
Supplements dated: September 24, November 6, 2003, and June 28, 2012.

FOR THE U.S. NUCLEAR REGULATORY COMMISSION



Michael D. Waters, Chief  
Licensing Branch  
Division of Spent Fuel Storage and Transportation  
Office of Nuclear Material Safety  
and Safeguards

Date: November 1, 2012



**SAFETY EVALUATION REPORT**  
**Docket No. 71-9248**  
**Model Nos. SP-1, SP-2, and SP-3 Shipping Containers**  
**Certificate of Compliance No. 9248**  
**Revision No. 20**

**SUMMARY**

By amendment dated June 28, 2012, AREVA NP Inc., (AREVA) requested an amendment to Certificate of Compliance No. 9248, for the Model Nos. SP-1, SP-2, and SP-3 Shipping Containers. The certificate has been updated to Revision 20 to reflect the changes.

**EVALUATION**

The primary purpose of the amendment was to make a limited number of minor language changes to the safety analysis report to correct internal inconsistencies and/or provide clarification.

AREVA requested the following changes:

- Change 1 – AREVA NP will be used in place of all previous names (Framatome Advanced Nuclear Power (Framatome ANP) and Framatome Advanced Nuclear Power (FANP), this change is to reflect the new company name.
- Change 2 – In Section 1.4.1.2 the first sentence changed to match the breather valve descriptions in Sections 1.5.1.2, 1.5.2.2, and 1.5.3.2; and added a note that the functional description of the breather valve by GE in Appendix 2B was in error.
- Change 3 – In Section 1.5.4.1 the third paragraph changed RA-3 to SP-1 to update an existing typo.
- Change 4 – In Section 7.1 the sixth bullet changed "wooden thrust block" to "thrust block" which allows a wider range of materials.
- Change 5 – In Section 8.2.2 the first bullet was revised into two separate bullets; with the first bullet dealing with crack criteria for 2x4's and second bullet dealing with crack criteria for 2x10's and 2x12's.
- Change 6 – Also in Section 8.2.2 the previous fifth bullet changed "rusted" to "heavily corroded", and added a statement that superficial surface rust on all carbon steel fasteners was allowed.

These six changes do not affect the ability of the package to meet the requirements of 10 CFR Part 71.

## **CONDITIONS**

The following changes have been made to the certificate of compliance:

Condition No. 13 was updated to reflect that Revision 19 of the certificate can be use until October 31, 2013.

Condition No. 15 has been added to reflect the provisions of 10 CFR 71.19(c).

## **CONCLUSION**

Based on the statements and representations in the amendment, the staff agrees that these changes do not affect the ability of the package to meet the requirements of 10 CFR Part 71.

Issued with Certificate of Compliance No. 9248, Revision No. 20, on November 1, 2012.